

INTEGRATED RULES

INCORPORATION OF

RESEARCHERS

2019-01 Call

Loan Agreement No.8682-PE

This translation is provided as a service to interested parties. If any text of the original document in Spanish is inconsistent with the text of this translation, the original document in Spanish shall govern.

INTEGRATION OF RULES

Section	It says	It should say
Throughout the basic document applied research...	...applied research and/or technological development...
1.3 Expected Outcomes	2) At least one (01) prototype at the laboratory level.	2) At least one (01) prototype at the laboratory level, for the case of technological development proposals. Footnote: We define Prototype: Original model built on a smaller scale that represents a product, process, service or technology, on which a series of tests will be made with a greater technical component that seeks to reproduce all the situations of its daily use. In this stage, the prototype does not necessarily have the full expected functionalities of the product or service.
1.3 Expected Outcomes	5) At least two (02) lectures, at the international level.	5) At least two (02) lectures presented at international scientific meetings.
1.3 Expected Outcomes	Optional: 2) A patent application for the invention, utility model, or industrial design	Optional 2) A patent application for the invention, utility model, breeder's certificate. 3) Registration of industrial design, copyright (only in the case of computer programs and architectural works)
1.4 Commitments of the Applicant Entity	2) Hire at least one (01) incorporated researcher for an additional period of three (3) years, once the grant has ended.	2) Hire at least one (01) incorporated researcher for an additional period of three (3) years, annually renewable , once the grant has ended. In the case of Public Universities and Public Research Institutes, they shall present the hiring commitments according to PPENDIXES 4A and 4B respectively, which will be published on the portal www.fondecyt.gob.pe

2.1 Research subject areas	Research projects developed by the incorporated researchers shall be related to any of the following general sectors and strategic sectors	Research projects developed by the incorporated researchers shall be related to any of the following general sectors and strategic sectors and shall be framed in one of the following areas of knowledge that will serve the sectors described above: 1) Life Sciences and Biotechnologies. 2) Materials Science and Technology. 3) Information and Communication Technologies. 4) Environmental Sciences and Technologies. 5) Basic Sciences.
2.2.1 Applicant Entity (AE):	... The AE may participate with more than one proposal.	The AE may participate with more than one proposal, but it should not present the same members of the research team in different proposals.
2.3.2. A) Research Team to be incorporated	Excluding postdoctoral and associated researchers, they shall have the capacity to train new researchers and conduct research groups.	Excluding postdoctoral researchers, they shall have the capacity to train new researchers and in the case of the Principal Investigator, it shall have the capacity to manage research groups.
2.3.2. A) Research Team to be incorporated	Incorporated researchers of any of the three categories: continuous-period principal researcher, associated researcher or postdoctoral researcher, shall have a full-time dedication to the proposed project. They may carry out other academic and research activities that involve a maximum time commitment of up to 6 hours per week	Incorporated researchers of any of the three categories: continuous-period principal researcher, associated researcher or postdoctoral researcher, shall have a full-time dedication to the proposed project. They may carry out other academic and research activities that involve a maximum time commitment of up to 6 hours per week. If at the time of applying, the researcher is carrying out academic or research work,

		<p><i>within the allowed 6 hours per week, the fees shall be calculated so that the net fee to be received is the one defined for each category, for example, the principal researcher will perceive S/.16,000.00 as monthly net fee (fees received for the grant plus fees received for other academic or research work)</i></p>
2.3.2. A.2)	A maximum of two (02) associated researchers may participate per research proposal submitted	It is removed from the rules.
2.3.2. A.3)	A maximum of three (03) postdoctoral researchers may participate per research proposal submitted.	It is removed from the rules.
2.3.2 Team Formation	<p><u>Origin of the incorporated researchers:</u></p> <p>ii) From another region of the country: researchers to be incorporated are domiciled in Peru. There is no time constraint for returning or entering the country and have the following consideration:</p> <ul style="list-style-type: none"> The domicile region of the researcher to be incorporated shall be different from the region of the Applicant Entity: Furthermore, the Applicant Entity shall be in a region different from Metropolitan Lima and Callao. 	<p><u>Origin of the incorporated researchers:</u></p> <p>ii) From another region of the country: The Principal or Associated researchers are domiciled in Peru. They have no time constraint for returning or entering the country and have the following consideration:</p> <ul style="list-style-type: none"> The domicile region of the researcher to be incorporated shall be different from the region of the Applicant Entity: Furthermore, the Applicant Entity shall be in a region different from Metropolitan Lima and Callao.
2.3.2 A2) Associated Researcher	The Associated Researcher is in charge of one or more stages, components or activities of the project. It is responsible for its partial results	The Associated Researcher is in charge of one or more stages, components or activities of the project. It is responsible for its partial results. It shall have full-time dedication to the proposed project.

<p>2.3.2. B) Local Team proposed</p>	<p>B.2) Co-researcher (or any acting as co-researcher in the organization) It shall contribute with its expertise and specialized knowledge in the project subject matter. It is in charge of one or more stages, components or activities of the Project.</p>	<p>B.2) Co-researcher (or any acting as co-researcher in the organization) It shall contribute with its expertise and specialized knowledge in the project subject matter. It is in charge of one or more stages, components or activities of the Project. <i>The participation of the local team co-researchers shall dedicate at least 8 hours a week to the project during their participation in the project</i></p>				
<p>2.4 Funding and Goal</p>	<p>TABLE 1. FEE FOR INCORPORATED RESEARCHERS</p> <p>Box header:</p> <table border="1" data-bbox="568 920 944 1043"> <thead> <tr> <th>Non-domiciled gross monthly fee</th> <th>Domiciled gross monthly fee</th> </tr> </thead> </table>	Non-domiciled gross monthly fee	Domiciled gross monthly fee	<p>TABLE 1. FEE FOR INCORPORATED RESEARCHERS</p> <p>Box header:</p> <table border="1" data-bbox="970 920 1375 1043"> <thead> <tr> <th>Gross monthly fee, non-resident in Peru</th> <th>Gross monthly fee, resident in Peru</th> </tr> </thead> </table>	Gross monthly fee, non-resident in Peru	Gross monthly fee, resident in Peru
Non-domiciled gross monthly fee	Domiciled gross monthly fee					
Gross monthly fee, non-resident in Peru	Gross monthly fee, resident in Peru					
<p>2.4.1 Amount and Term b) Installation expenses</p>	<p>Footnote 15. Specialists in migratory procedures, taxes, and customs will be hired for consultancy purposes of the incorporated researchers.</p>	<p><i>Footnote 16. The FONDECYT will provide the incorporated researchers with specialists who will advise them permanently on immigration procedures, tax payments, and customs.</i></p>				
<p>2.4.1 Amount and Term</p>	<p>Maximum amount to be awarded by grant Fee for researchers to be incorporated S/2,113,800</p>	<p><i>The maximum amount to be awarded by grant for researchers to be incorporated is S/ 2'115,000.00</i></p>				
<p>2.4.1 a) Monthly fee for the incorporated researchers</p>	<p>(...)</p>	<p>(...) <i>The gross monthly fee for the incorporated researcher, with the condition of resident and non-resident in Peru, presented in "Table 1. Fees of Incorporated Researchers" is fixed and cannot be reduced or increased with the funds of the project</i></p>				
<p>2.4.1 a) Monthly fee for the incorporated</p>	<p>....</p>	<p><i>Any internal administrative procedure that the researcher</i></p>				

researchers		<i>shall carry out, such as the request for material, supplies, etc., will be made by the Technical Coordinator.</i>												
2.4.1 c) Amount for Project implementation	<p>TABLE 3. ALLOCATED AMOUNT FOR PROJECT IMPLEMENTATION</p> <table border="1"> <thead> <tr> <th>Researc her cate gory</th> <th>Maxi mu m amou nt per mem ber for proje ct imple ment ation</th> <th>Ma xi mu m parti cipa tion per res ear cher</th> <th>Max imu m gra nt</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Researc her cate gory	Maxi mu m amou nt per mem ber for proje ct imple ment ation	Ma xi mu m parti cipa tion per res ear cher	Max imu m gra nt					<p>TABLE 3. ALLOCATED AMOUNT FOR PROJECT IMPLEMENTATION</p> <table border="1"> <thead> <tr> <th>Researcher category</th> <th>Maximum amount per member for project implementation</th> </tr> </thead> <tbody> <tr> <td></td> <td></td> </tr> </tbody> </table> <p>The maximum amount to be allocated for project implementation is S/. 1,300,000.00</p>	Researcher category	Maximum amount per member for project implementation		
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Researcher category	Maximum amount per member for project implementation													
2.4.2. C.3) Fundable items	<p>d) Intellectual property</p> <ul style="list-style-type: none"> ➤ Expenses for drafting invention patents ➤ Patentability studies and market ➤ Payment of fees for the application of PCT or national invention patents 	<p>d) Intellectual Property</p> <ul style="list-style-type: none"> ➤ Fees for the drafting of patents and breeder's certificate. ➤ Payment of fees for the registration of international PCT patents or national fees for the registration of patents (of invention and utility models); it includes the expenses for the registration of deposit of microorganisms or the registration of collective knowledge, if any. ➤ Payment of fees for obtaining the breeder's certificate, industrial model (in the case of machinery, new processes or products), copyright (only in the case of computer programs and architectural works). 												
2.4.2. Fundable items	(...)	(...) Funding for escalating or commercialization expenses is not allowed.												
2.4.2 Fundable items e) Management expenses	It corresponds to the monetary incentive for the Administrative Coordinator, office supplies,	It corresponds to the monetary incentive for the Administrative Coordinator, which must not												

	printing services, and other expenses related to project management.	exceed the maximum of S/. 1,000.00 monthly , office supplies, printing and other expenses related to the project management.
2.4.3 Counterpart	<i>In exceptional cases, some type of additional incentive that the Applicant Entity contemplates for the Incorporated researcher may be considered as a counterpart.</i>
3.1 Eligibility d) For the Principal Researcher	<ul style="list-style-type: none"> • The Consistency Committee shall evaluate the cases in which the PR has more than two (02) projects that are being implemented or close to be completed. • Those who perform full-time administrative or management activities may not apply. 	<ul style="list-style-type: none"> - <i>Those who have a maximum of two (02) grants from FONDECYT in implementation may apply, which include the development of research projects at the time of application.</i> The Consistency Committee shall evaluate the cases in which the PR has more than two (02) projects that are being implemented or close to be completed - <i>Those who perform full-time administrative or management activities may apply as long as they do not participate in a research project in progress or to be initiated, except to be selected in this call.</i>
3.1 Eligibility d) For the Co-Researchers	It was not considered.	<ul style="list-style-type: none"> - <i>Those who have a maximum of two (02) grants from FONDECYT in implementation may apply, which include the development of research projects at the time of application.</i> The Consistency Committee shall evaluate the cases in which the PR has more than two (02) projects that are being implemented or close to be completed - <i>Those who perform full-time administrative or management activities may apply as long as they do not</i>

		<i>participate in a research project in progress or to be initiated, except to be selected in this call.</i>																
3.1 Eligibility a) For the researchers to be incorporated	For the associated researcher: <ul style="list-style-type: none"> To have a Ph.D. degree obtained abroad or with experience abroad. To have around 5 years of extensive and recognized experience participating in research project. 	For the associated researcher: <ul style="list-style-type: none"> To have a Ph.D. degree obtained abroad or with experience abroad. To have around 5 years of extensive and recognized experience participating in research project. Participation as a thesis student is not considered. 																
3.1 Eligibility	<u>Restrictions and impediments</u> ...	<i>I. That the applicant or associated entity is disqualified from contracting with the Government.</i> <i>V. Workers from Concytec or Fondecyt who are currently working or have had a working relationship up to one year prior to the application registration date of the applicant entity, may not apply for this call.</i>																
3.3. SCHEDULE	<u>... for 166 calendar days ...</u>	<u>... for 180 calendar days ...</u>																
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3.4 Queries	... Queries about the submission and operation of the STI Vitae,	Queries about the submission and operation of the STI Vitae, please																

	please write to dina@concytec.gob.pe	write to ctivitae@concytec.gob.pe
4.3 Agreement or Contract	Before signing the agreement or contract, and to deem it convenient, the FONDECYT shall verify the accuracy and relevance of the information provided in the application, the capacities and competencies of the participating entities, the support of the corresponding documents or certificates, as well as compliance of the requirements and conditions established	Before signing the agreement or contract, and to deem it convenient, the Evaluation and Selection Unit-ESU of FONDECYT will verify the accuracy and pertinence of the information presented in the application, the capacities and competencies of the participating entities, the support of the records or corresponding documents, as well as compliance with the requirements and conditions established
4.3 Agreement or Contract	In case the selected proposal is being already implemented, FONDECYT may terminate the contract or agreement as established in the Follow-Up and Monitoring Guide.	In case the selected proposal is already implemented, the Follow-Up and Monitoring Unit-FMU of FONDECYT may terminate the contract or agreement in case of non-compliance with any of the obligations established in the Follow-Up and Monitoring Guide.
4.3 Agreement or Contract	Likewise, in any of the cases, the FONDECYT shall take the corresponding actions regarding its participation in future calls, as well as the corresponding legal actions.	Likewise, in any of the cases, FONDECYT shall take the corresponding actions regarding its participation 4.3 in future calls, as well as the corresponding actions of Law
Appendix 4. Requirements for the researchers to be incorporated. Principal Researcher.	The PR has a maximum of two (02) grants in implementation from FONDECYT that include the development of research projects at the time of applying.	It was removed.
Appendix 4. Requirements for the researchers to be incorporated. Principal Researcher.	The PR does not perform full-time administrative or management activities.	It was removed.
Appendix 4. Requirements. Associated researcher	(...)	(...) Participation as a thesis student is not considered.

<p>Appendix 4. Requirements. Formation of the minimum research team of the proposal. Principal Researcher of the Applicant Entity</p>	<p>(...)</p>	<p>(...) The PR of the requesting Entity (if applicable) has a maximum of two (02) grants in execution of FONDECYT participating as Principal Researcher or Co-researcher that includes the development of research projects at the time of application. If they have more than two (02) projects in execution and are close to completion, the consistency committee (CC) will evaluate each case.</p> <p><i>The PR of the applicant Entity (if applicable) performs full-time administrative or management activities and does not participate in a research project in progress or to be initiated, except to be selected in this call.</i></p>
<p>Appendix 4. Requirements. Co-Researcher</p>	<p>(...)</p>	<p>(...) The Co-Researcher has a maximum of two (02) grants in execution of FONDECYT participating as Principal Investigator or Co-researcher that includes the development of research projects at the time of application. If they have more than two (02) projects in execution and are close to completion, the consistency committee (CC) will evaluate each case.</p> <p><i>The Co-researcher performs full-time administrative or management activities and does not participate in a research project in progress or to be initiated, except to be selected in this call.</i></p>

<p>Appendix Requirements. Restrictions Impediments</p>	<p>4. and (...)</p>	<p>5) Workers from Concytec or Fondecyt who are currently working or have had a working relationship up to one year prior to the application registration date of the applicant entity, may not apply for this call.</p>
<p>Appendix 8, Paragraph 3.1, Principal Researcher. Eligibility Card</p>	<p>(.....)</p>	<p>(...) If the PR comes from the internal team, those with a maximum of two (02) FONDECYT grants in implementation may apply, participating as a Principal Researcher or Co-researcher which includes the development of research projects at the time of application. If they have more than two (02) projects in implementation and are close to completion, the consistency committee (CC) shall evaluate each case. Revision: FONDECYT's database of projects in implementation will be reviewed.</p>
<p>Appendix 8, Paragraph 3.1. Principal Researcher. Eligibility Card</p>	<p>(.....)</p>	<p>If the PR comes from the internal team and performs full-time administrative or management activities, they may apply as long as they do not participate in a research project in progress or to be initiated, except to be selected in this call. Revision: Appendix 04 will be reviewed</p>
<p>Appendix 8, Paragraph 3.2, d. Co -Researcher. Eligibility Card</p>	<p>(...)</p>	<p>Those who have a maximum of two (02) grants from FONDECYT may apply, participating as a Principal Researcher or Co-researcher which includes the development of research projects at the time of application. If they have more than two (02) projects in implementation and are close to completion, the consistency</p>

		<p>committee (CC) shall evaluate each case.</p> <p>Revision: FONDECYT's database of projects in implementation shall be reviewed.</p>
<p>Appendix 8, Paragraph 3.2, e. Co -Researcher. Eligibility Card</p>	(...)	<p>Those who perform full-time administrative or management activities may apply as long as they do not participate in a research project in progress or to be initiated, except to be selected in this call.</p> <p>Revision: Appendix 04 will be reviewed</p>
<p>Appendix 8, Paragraph 4, e. For the proposal of the applied research and/or technological development project. Eligibility Card. Eligibility Column</p>	<p>If a single researcher is incorporated, and this is incorporated into a project in implementation, the proposal shall include different and/or complementary objectives to those already funded and in implementation. The foregoing shall be declared in the application</p>	<p>If a single researcher is incorporated, and this is incorporated into a project in implementation, the current proposal shall include different and/or complementary objectives to those already funded and in implementation. The foregoing shall be declared in the application.</p>
<p>Appendix 8, Paragraph 4, e. Eligibility Card. Revision Column</p>	<p>Application platform will be revised, section: "Research Project Summary".</p>	<p>Appendix 04 will be reviewed.</p>
<p>Appendix 8, Paragraph 2.1. Principal Researcher. Eligibility Card</p>	<p>For foreigners; on the researchers' database of their country or free format (they shall attach the pdf if the CV in the application platform).</p>	<p>For foreigners; on the researchers' database of their country or in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform.</p>
<p>Appendix 8, Paragraph 2.1. e. Principal Researcher. Eligibility Card</p>	<p>PRs that have a maximum of two (02) grants in implementation from FONDECYT that include the development of research projects at the time of applying may apply.</p>	<p>It was removed.</p>
<p>Appendix 8, Paragraph 2.1. f. Principal</p>	<p>PRs that perform full-time administrative or management activities are may not apply.</p>	<p>It was removed.</p>

Researcher. Eligibility Card		
Appendix 8, Paragraph 2.2. Principal Researcher. Eligibility Card. Associated Researcher	(...)	(...) Participation as a thesis student is not considered.
Appendix 8, Paragraph 3.2. C. Eligibility Card. Co-Researcher.	(...)	(...) Local team Co-researchers shall dedicate at least 8 hours a week to the project during their participation in the project Revision: Appendix 04 will be reviewed
Appendix 9, Evaluation Card Academic. Criterion IV, Reference for the evaluator	Have concrete actions that contribute to the sustainability of the proposal been defined; for example, is there a plan for the insertion of the human resources incorporated, plan for the acquisition of equipment or other necessary conditions that contribute to the sustainability of the proposal? Is it being considered to manage additional funding through other projects to give sustainability to the proposal?	Have concrete actions that contribute to the sustainability of the research line or the area of technological development of the entity been defined? For instance, is there a plan for the insertion of the human resources incorporated, plan for the acquisition of equipment or other necessary conditions that contribute to the sustainability of the proposal? Is it being considered to manage additional funding through other projects to give sustainability to the research line or the area of technological development of the entity ?
Appendix 9, Evaluation Card Company, Criterion IV, Reference for the evaluator	Have concrete actions that contribute to the sustainability of the proposal been defined; for example, is there a plan for the insertion of the human resources incorporated, plan for the acquisition of equipment or other necessary conditions that contribute to the sustainability of the proposal? Is it being considered to manage additional funding through other projects to give sustainability to the proposal?	Have concrete actions that contribute to the sustainability research line or the area of technological development of the entity been defined? For instance, is there a plan for the insertion of the human resources incorporated, plan for the acquisition of equipment or other necessary conditions that contribute to the sustainability or to the research line or the area of technological development of the entity ?
Appendix 9, Evaluation Card Academic. Criterion I, Reference for the evaluator	Are the activities described in the work plan of the incorporated researchers coherent and contribute to increasing the human capital	Are the activities described in the work plan of the entity, internal team and researchers incorporated coherent and contribute to increasing the human

	and congruent with the research project implementation?	capital and congruent with the research project implementation?
Appendix 9, Evaluation Card Company . Criterion I, Reference for the evaluator	Are the activities described in the work plan of the incorporated researchers coherent and contribute to increase the human capital and congruent with the research project implementation?	Are the activities described in the work plan of the entity, internal team and researchers incorporated coherent and contribute to increasing the human capital and congruent with the research project implementation?
Appendix 9, Evaluation Card Academic . Criterion IV, Sub criterion 4.1 Reference for the evaluator	(...)	(...) What research result dissemination strategies towards their application are being considered?
Anexo 9, Evaluation Card Company , Criterion IV, Sub criterion 4.1 Reference for the evaluator	(...)	(...) What research result dissemination strategies towards their application are being considered?

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ABBREVIATION AND ACRONYMS

AE	Applicant Entity
CONCYTEC	Consejo Nacional de Ciencia, Tecnología e Innovación Tecnológica (National Council for Science, Technology, and Innovation)
ED	Executive Director of FONDECYT
ESU	Evaluation and Selection Unit (FONDECYT)
FONDECYT	Fondo Nacional de Desarrollo Científico, Tecnológico y de Innovación Tecnológica (National Fund for Scientific, Technological, and Technological Innovation Development)
IE	Implementing Entity
PRI	Public Research Institute (Instituto Público de Investigación)
MEF	Ministry of Economy and Finance
PCT	Patent Cooperation Treaty
PP	Procurement Plan
SINACYT	Sistema Nacional de Ciencia, Tecnología e Innovación Tecnológica (National System of Science, Technology and Technological Innovation)
STI	Science, Technology, and Innovation
SUNEDU	(National Superintendence of University Higher Education)
TC	Technical Coordinator
TOR	Terms of Reference
WB	World Bank
STI Vitae	Curriculum Vitae related to Science and Technology
ORCID	"Open Researcher and Contributor ID"

1. GENERAL ASPECTS

On February 8, 2017, the Government of the Republic of Peru signed the Loan Agreement No.8682-PE with the World Bank (WB) to fund the Project called: "Improvement and extension of the services of the National System of Science, Technology and Technological Innovation (SINACYT) ", aimed at contributing to the economic and competitive diversification of Peru, which shall help to reduce the vulnerability of the productive sector and finally achieve a sustainable development based on knowledge.

The National Fund for the Development of Science, Technology, and Technological Innovation - FONDECYT is the Project Implementing Entity that has, in one of its components, the objective of strengthening the applied research and/or technological development capacity through training and attraction of human capital, infrastructure improvement and R&D+i project funding.

This contest is aimed at funding the incorporation of human capital for science, technology, and innovation to strengthen and consolidate research lines in Peruvian entities.

Procurement of goods, non-consulting services and consultants procured by the grant beneficiaries under this funding will be acquired according to the World Bank's rules.

1.1. Contest Rules

1.1.1. Rules

It is the contest normative document published by the FONDECYT. The rules constitute a document of mandatory fulfillment that governs for all legal effect.

1.1.2. Integrated Rules

It is the definitive normative document that results from the queries received from the potential applicants and their corresponding answers, which are considered relevant for the fulfillment of the objective of this contest. Integrated Rules are published in the institutional website of FONDECYT, on the date indicated in section 3.3 of these rules. In the case queries are not submitted, the Rules described in section 1.1.1 shall be maintained as the final ones.

1.2. Objectives

1.2.1. General Objective

To Increase human capital for science, technology, and innovation through funding for the incorporation of Ph.D. researchers and the development of a research project, in order to generate impact in the creation, strengthening or consolidation of research lines in Peruvian entities.

1.2.2. Specific Objectives

- 1) To promote collaborative research that leads to transferable outcomes in a more effective way, and that generates or strengthens research collaboration networks.
- 2) To train human resources in research through thesis student participation in projects in order to introduce a new scientist generation into the public and private sectors.
- 3) To disseminate research outcomes in order to promote its application.

1.3. Expected Outcomes

At the end of their implementation, the selected applications shall achieve the following mandatory outcomes:

- 1) At least two (02) additional projects submitted for some funding that contributes to the continuity of the research line.
- 2) At least one (01) prototype¹ at the laboratory level, for the case of technological development proposals.
- 3) For the funded research project: At least one (01) scientific paper per incorporated researcher, submitted or accepted for publication in Q1 or Q2 indexed journals preferably.
- 4) At least two (02) undergraduate or postgraduate thesis presented before a theses jury to obtain academic degrees or titles at Peruvian universities.
- 5) At least two (02) lectures presented at international scientific meetings.
- 6) At least one (01) service or work contract with an incorporated researcher valid for a minimum three (03) years.

Optional:

- 1) For the research line to be created, strengthened or consolidated: At least one (01) scientific paper, submitted or accepted for publication in indexed journals, of ongoing or completed projects.

¹ Prototype: Original model built on a smaller scale that represents a product, process, service or technology, on which a series of tests will be made with a greater technical component that seeks to reproduce all the situations of its daily use. In this stage, the prototype does not necessarily have the full expected functionalities of the product or service.

- 2) A patent application for the invention, utility model, breeder's certificate.
- 3) Registration of industrial design, copyright (only in the case of computer programs and architectural works)
- 4) At least one (01) new product or scientific-technological base process whose application contributes to solving problems or taking advantage of opportunities relevant to the region.

1.4. Commitments of the Applicant Entity

At the time of application, it shall submit a letter committing to:

- 1) Provide all the facilities so that the incorporated ones may carry out their work.
- 2) Hire at least one (01) incorporated researcher for an additional period of three (3) years, annually renewable, once the grant has ended. In the case of Public Universities and Public Research Institutes, they shall present the hiring commitments according to PPENDIXES 4A and 4B respectively, which will be published on the portal www.fondecyt.gob.pe
- 3) Provide information to the CONCYTEC and the FONDECYT during and after the grant to verify compliance with the commitments acquired.

At the signing of the contract, the AE shall undertake to comply with the previous commitments.

2. CONTEST RULES

2.1. Research subject areas

Research projects developed by the incorporated researchers shall be related to any of the following general sectors and strategic sectors and shall be framed in one of the following areas of knowledge that will serve the sectors described above:

- 1) Life Sciences and Biotechnologies.
- 2) Materials Science and Technology.
- 3) Information and Communication Technologies.
- 4) Environmental Sciences and Technologies.
- 5) Basic Sciences.

For this contest, it is expected to grant at least (10) proposals that respond to general areas and (20) that respond to strategic areas.

General Sectors ²	Strategic Sectors
<ol style="list-style-type: none"> 1. Farming 2. Energy 3. Telecommunications 4. Health 5. Education 6. Environment 7. Metallurgy 8. Housing and Sanitation 	<ol style="list-style-type: none"> 1. Agroindustry and food processing 2. Wood Forest 3. Textile and Apparel 4. Mining and its Manufacturing 5. Advanced Manufacturing 6. Ecotourism, restoration, and creative industries

The description of each strategic sector is detailed in Appendix 1.

2.2. Target Public

2.2.1. Applicant Entity (AE):

It is an entity constituted in Peru, responsible for submitting the application. It has the infrastructure and equipment needed for the project development and incorporation of researchers.

In the event the proposal wins, it shall be therefore called Implementing Entity (IE) and will be in charge of signing the contract with the FONDECYT. Furthermore, it shall assume the responsibility of the project development and the management of the granted funding resources that includes the grant for incorporated researchers.

Furthermore, the AE is obliged to continue the labor or contractual relationship of at least one incorporated researcher, for three (03) additional years, by the end of the project implementation.

The AE shall present a Technical Coordinator (TC) who shall keep contact with the researcher(s) to be incorporated, forms the research team, and elaborates the research project proposal together with the researchers to be incorporated.

The AE may participate with more than one proposal, but it should not present the same members of the research team in different proposals.

The applicant entity may be of two types:

²Taken as a reference from the PNCTI 2006-2021

a) **Academic:** It corresponds to one of the following:

- Universities that are licensed or in the process to be licensed by the SUNEDU³
- Public or private Research Institutes or Centers.⁴

The academic entity may submit its proposal individually or with one or two partner entities, described in paragraph 2.2.2.

International Centers, resident or not in Peru, are excluded to apply for this call as Applicant Entity as they receive funding from the Government, international organizations or foundations. The identification of an international center shall be determined by its RUC (Taxpayer Registry) – SUNAT card.

b) **Company**⁵

It refers to companies that have areas that develop R&D+i in their organization. In the event they do not have the areas previously mentioned, it shall demonstrate its willingness to develop research activities, technological development or technological innovation in its organization, committing to provide the facilities for the proper development of the proposed activities.

It shall demonstrate at least two (02) years of continuous work before the application date.

The company may apply individually or associated. If associated, the partnership may be possible only with a university that is licensed or in the process to be licensed by the SUNEDU, or with a Public Research Institute (PRI). This type of partnership shall be positively valued in the evaluation stage.

2.2.2. Partner Entity (PE)

The Partner Entity is a public or private organization legally constituted in Peru, different from the Applicant Entity⁶, that shall have an active role in the research or technological development project, shall provide a monetary and/or non-monetary counterpart, and shall participate with at least one (01) co-researcher, that may be:

- Universities that are licensed or in the process of being licensed by SUNEDU⁷.
- Foreign universities

³ By the closing date for application.

⁴ They shall demonstrate their research functions provided in their creation regulation, rules of organization and functions or laws.

⁵ Public or private companies of the public or private service or productive sector. They shall have a sale amount higher than 150 UIT. Legislative Decree No.1086. Law on the Promotion of Competitiveness, Formalization, and Development of Micro and Small Enterprises and Access to Decent Employment. It shall attach its SUNAT sworn statement of annual income.

⁶ Evidenced by its RUC number.

⁷ By the closing date of application.

- Higher Education institutions and/or Higher Education Schools authorized by MINEDU or licensed as IES⁸ (Institutos de Educación Superior – Higher Education Institutes).
- Public Research Centers or Institutes
- Public institutions, ministries, or other public institutions with technological development and research groups.
- Non-profit private associations⁹ that develop and/or promote research in STI according to their social purpose.
- Peruvian companies¹⁰
- Public or Private Centers of Productive Innovation and Technology Transfer (Centros de Innovación Productiva y Transferencia Tecnológica – CITEs, by its acronym in Spanish).
- International Research Centers resident or not in Peru.

2.3. Research Team Formation of the proposal

2.3.1. Definitions

- a) Researcher to be incorporated¹¹: Researcher who has a Ph.D. degree obtained abroad or with experience in entities of recognized prestige institutions abroad in areas of science and technology that the Applicant Entity proposes to incorporate into its research team. According to its experience, it may belong to the following categories:
- Principal researcher
 - Associated researcher
 - Postdoctoral researcher
- b) Local Researcher: Researcher proposed by the applicant entity or partner entity and that is part of the research team, according to its degrees or experience may be principal or co-researcher.

2.3.2. Team formation

In this call, the team of the proposal shall be comprised of the research team to be incorporated in the project (1 as a minimum) and the team presented by the Applicant and Partner Entity (if applicable).

The Technical Coordinator (TC) is responsible for the application. It shall have its ORCID (www.orcid.org) which shall be linked to the STI Vitae.

The rest of the research team shall have its ORCID linked to the STI Vitae in accordance with what is provided in the Follow-U and Monitoring Guide.

⁸ In accordance with Law 30512, Law of Institutes and Schools of Higher Education and of the Public Career of their Teachers

⁹ According to the Civil Code, these may be associations, foundations or committees.

¹⁰ Public or private companies of the service or productive sector. It shall have two (02) years of continuous work prior to the application date, active RUC.

¹¹ Once the Applicant Entity wins, it shall be called Incorporated Researcher.

A) Research Team to be incorporated

(Resident or non-resident) Peruvian or foreign researchers shall have carried out scientific or technological development work, of originality and recognized quality, proved by their publications and by the influence of their work in the field of their specialty in the field of science or technology. Excluding postdoctoral and associated researchers and in the case of the Principal Investigator, it shall have the capacity to manage research groups.

Incorporated researchers of any of the three categories: continuous-period principal researcher, associated researcher or postdoctoral researcher, shall have a full-time dedication to the proposed project. They may carry out other academic and research activities that involve a maximum time commitment of up to 6 hours per week.

If at the time of applying, the researcher is carrying out academic or research work, within the allowed 6 hours per week, the fees shall be calculated so that the net fee to be received is the one defined for each category, for example, the principal researcher will perceive S/.16,000.00 as monthly net fee (fees received for the grant plus fees received for other academic or research work)

With the aim of permanently incorporating researchers into the National System of Science and Technology and Technological Innovation of the country (SINACYT), they may apply for jobs or similar positions at the Implementing Entity or another entity in Peru. In this case, the Follow-Up and Monitoring Unit of the FONDECYT in coordination with the technical coordinator and the researcher shall reschedule the project activities and the budget, in order to guarantee compliance with the proposed objectives.

Considering that the researchers to be incorporated may be carrying out other activities when they apply, they may be incorporated into the project up to six months after signing the contract of the incorporated researcher with the Implementing Entity, so that they can close the commitments acquired with other entities, except in exceptional cases, which will be put into the consideration of FONDECYT.

Origin of the incorporated researchers:

- i) From abroad: the principal, associated or postdoctoral researcher may be:
- ✓ Peruvian or foreigner, domiciled abroad.
 - ✓ Peruvian returning to the country within a maximum period of six (06) years.
 - ✓ Foreigners entering the country within a maximum period of six (06) years.

- ii) From another region of the country: The Principal or Associated researchers are domiciled in Peru. They have no time constraint for returning or entering the country and have the following consideration:
- The domicile region¹² of the researcher to be incorporated shall be different from the region of the Applicant Entity: Furthermore, the Applicant Entity shall be in a region different from Metropolitan Lima and Callao.

Categories of the Researcher to be incorporated

A.1) Principal Researcher

The Principal Researcher conducts research and actions of the research team. It is responsible for the implementation and accomplishment of the objectives of the project, as well as the achievement of the proposed results. It is expected that it maintains its connection with foreign entities and collaborates in collaborative projects and in the establishment of scientific networks.

The principal researcher may provide its services under the following modalities:

- 1) In person, for a continuous period during the entire project.
- 2) In a non-continuous way. It shall participate in person for a minimum period of 9 months during the entire project, with minimum stays of 3 months per year. Nevertheless, it shall give distance support to the project.

In both modalities, the conditions and payment shall be determined by the Terms of Reference.

A maximum of one (01) principal researcher may participate per each submitted proposal.

Minimum requirements:

- To have at least a Ph.D. degree obtained abroad or with experience abroad. To have around 10 years of extensive and recognized experience leading research teams and projects.
- To have scientific papers or publications in indexed journals in international and high-impact databases and/or patents, technological products or development, and experience in training professionals in their specialty field.

¹² Domicile address mentioned in the ID or FC will be verified. Copy of the ID or FC shall be submitted via the platform.

A.2) Associated Researcher

The Associated Researcher is in charge of one or more stages, components or activities of the project. It is responsible for its partial results. It shall have full-time dedication to the proposed project.

Minimum requirements:

- To have at least a Ph.D. degree obtained abroad or with experience abroad. To have around 5 years of extensive and recognized experience participating in research projects.
- To have scientific papers or publications in indexed journals in international and high-impact databases and/or patents, technological products or development, and experience in training professionals in their specialty field.

A.3) Postdoctoral Researcher

The Postdoctoral Researcher collaborates with the research and is under the responsibility of the principal researcher. It is characterized for having carried out an individual work in a doctoral thesis project, showing aptitudes to perform them under the guidance or supervision of an advisor.

The postdoctoral researcher shall participate in the research project proposed in the Implementing Entity for a continuous period of 12 months as a minimum and 24 months as a maximum.

Minimum requirements:

- To have at least a Ph.D. degree obtained abroad since 2014. Degrees obtained by distance mode shall not be considered.
- To have at least two (02) scientific papers published in indexed journals.

Note:

Those graduated from a doctoral program abroad with a thesis approved at the time of application may apply.

B) Local Team proposed by the Applicant Entity and the Partner Entity (this last one, if applicable).

B.1) Principal Researcher.

In the event the proposal does not incorporate a Principal Researcher, the Applicant Entity (or Partner, if applicable) shall propose one with the same characteristics provided under paragraph (A1) *Principal Researcher*, except for the experience, in which case the experience abroad or in the national territory shall be considered. Considering that the proposed Principal researcher works at the Applicant Entity, it shall continuously dedicate at least 8 hours a week to the project throughout the entire project.

B.2) Co-researcher (or any acting as co-researcher in the organization)¹³

It shall contribute with its expertise and specialized knowledge in the project subject matter. It is in charge of one or more stages, components or activities of the Project. The participation of the local team co-researchers shall dedicate at least 8 hours a week to the project during their participation in the project

A minimum of one (01) and a maximum of six (06) co-researchers may participate per research proposal submitted.

Minimum requirements

- To have a professional degree and/or Masters' degree.

B.3) Undergraduate or Postgraduate Thesis student

It is in charge of the activities to elaborate the undergraduate or postgraduate thesis during the period established for the research project, committing itself to its completion (obtaining results).

The thesis student may be identified or not during the application; if not identified, it shall be incorporated during the project implementation. The role requirements shall be verified in the implementation. The thesis students are students or graduated students from Peruvian universities that do not have any thesis grant awarded by the Peruvian Government.

A minimum of two (02) and a maximum of six (06) thesis students may participate per research proposal submitted.

Minimum requirements

- Students or graduated students from Peruvian universities. They shall not have any thesis grant from the Peruvian government.

Additionally

The Applicant Entity shall include, without any exception, the following profiles in its supporting team:

- Technical Coordinator - TC.
It keeps contact with the researchers to be incorporated, organizes the research team, and elaborates the applied research and/or technological development project proposal together with the researchers to be incorporated and the local researchers.

¹³ In the case of Companies, it is equivalent to the Head/Manager/Project Manager or similar

Furthermore, it will be responsible for the technical and financial coordination of the project and of the final outcomes before the FONDECYT.

It is part of the research team as Principal Researcher or Co-researcher, accomplishing the minimum requirements, as applicable (paragraph 2.3.1, B1 or B2). Additionally, it shall have labor relationship with the applicant entity.

- **Administrative Coordinator**

It is in charge of coordinating the administrative formalities for the signing of the grant contract and the administrative and financial formalities during the implementation. If the proposal is selected, the FONDECYT, through its Follow-Up and Monitoring Unit, may request to change the Administrative Coordinator and technical staff in the event these do not fulfill the liabilities and/or activities related to the project.

2.4. Funding and goal

At least 100 incorporated researchers, or until the available budget has been allocated.

2.4.1. Amount and Term

Funding shall be granted for the payment of the gross monthly disbursement of the incorporated researcher (net monthly income plus taxes according to resident or non-resident condition), installation expenses of the incorporated researchers, and a fund for the development of a research or technological development project.

Considering that the closing must be in the month of December 2021 at the latest, the amounts and terms of the grant are distributed as indicated in the following paragraphs:

a) Monthly fee for the incorporated researchers:

The monthly fees received by the incorporated researchers are according to Table 1.

TABLE 1. FEE FOR INCORPORATED RESEARCHERS

Researcher category	Net referential monthly fee	Gross monthly fee, non-resident in Peru	Gross monthly fee, resident in Peru	Maximum participation in the project
Principal researcher	S/ 16,000.00	S/ 21,100.00	S/ 17,400.00	30 months
Associated researcher	S/ 11,500.00	S/ 15,200.00	S/ 12,500.00	30 months
Postdoctoral researcher	S/ 6,000.00	S/ 7,900.00	S/ 6,550.00	24 months

The research team to be incorporated shall be procured under the procurement guidelines of the World Bank, using the individual consulting method¹⁴. For this, the entities shall attach the consultants' CVs to the application proposal.

Each incorporated researcher is responsible for completing the Annual Affidavit before the SUNAT and covering the health insurance.

The gross monthly fee to be assigned considers the monthly referential net fee plus the income tax considering 8% for resident and 24% for non-resident¹⁵ researchers.

The gross monthly fee for the incorporated researcher, with the condition of resident and non-resident in Peru, presented in "Table 1. Fees of Incorporated Researchers" is fixed and cannot be reduced or increased with the funds of the project

The maximum amount to be awarded by grant for researchers to be incorporated is S/ 2'115,000.00.

Any internal administrative procedure that the researcher shall carry out, such as the request for material, supplies, etc., will be made by the Technical Coordinator.

b) Installation expenses

Lump-sum payment for installation expenses¹⁶, in the event the researcher to be incorporated works continuously for the Implementing Entity during the entire project and is domiciled abroad or in a region different from its regular domicile. This amount will be delivered by the IE to the incorporated researcher once the contract is signed between both parties and will be rendered by a simple receipt. This point shall be specified in the contract signed between the IE and the incorporated researcher.

The following amounts shall be considered, according to table 2.

TABLE 2. LUMP-SUM PAYMENT FOR INSTALLATION EXPENSES

Researcher category	Installation expenses
Principal researcher	S/ 16,000.00
Associated researcher	S/ 12,000.00
Postdoctoral researcher	S/ 10,000.00

¹⁴ Payment details shall be specified in the terms of reference.

¹⁵ Article 7, 45 and 56 of the Texto Único Ordenado TUO (UOT - Unique Ordered Text) of the Income Tax Act.

¹⁶ The FONDECYT will provide the incorporated researchers with specialists who will advise them permanently on immigration procedures, tax payments, and customs.

c) Amount for project implementation

An amount will be given per incorporated researcher for the project implementation, forming a single budget for the project, so the total funding will depend on the category and number of researchers to be incorporated.

TABLE 3. ALLOCATED AMOUNT FOR PROJECT IMPLEMENTATION.

Researcher category	Maximum amount per member for project implementation
Principal Researcher	S/ 350,000.00
Associated Researcher	S/ 250,000.00
Postdoctoral Researcher	S/ 150,000.00

The maximum amount to be allocated for project implementation is S/. 1,300,000.00

For example, if you plan to incorporate a research team consisting of:

- one (01) principal researcher, two (02) associated researchers and two (02) postdoctoral researchers, a grant up to one million one hundred fifty thousand soles (S/ 1,150,000) shall be granted as a fund for the development of the research project.
- a principal researcher and a postdoctoral researcher will be granted up to five hundred thousand soles (S/ 500,000) as a fund for the development of the project.

EXAMPLE FOR FUNDING A PROPOSAL

In the case of an Applicant Entity that applies individually, and incorporates the maximum number of researchers allowed, (1) principal researcher, (2) associate researchers, and (3) postdoctoral researchers, all in their maximum periods and with non-resident condition, the amount of the grant would be: S/.3'483,800.00, according to the following distribution.

Concept	Maximum amount to be awarded by grant
Fee for researchers to be incorporated	S/ 2'115,000.00
Installation expenses	S/ 70,000.00
Research or technological development project	S/ 1'300,000.00
Total	S/ 3'485,000.00

Procurement and contracting shall be carried out according to the World Bank regulations. For this purpose, the beneficiary institutions will be logistically guided in the use of said regulations.

2.4.2. Fundable Items

A. Fee

The amount granted to the incorporated researcher(s) for the services provided to the Implementing Entity. Gross monthly amount according to table 1.

B. **Installation expenses:** Amount granted to the incorporated researchers for preparing their housing facilities according to their needs. It will also cover the ticket to Peru and return ticket at the end of the grant, if necessary, according to table 2.

C. **Research or technological development project:**

The amount allocated to the applicant entity for the implementation of the research or technological development project is based on the formation of the incorporated research team (number and profile of the researchers), integrating into a single amount the funds granted to each of them, according to the information provided in table 3.

With the amount granted for the research project, the following items may be funded:

C1) Consulting Services

- Human Resources (up to 25% of the funding amount for the project development, incentives only for the applicant and/or partner entity personnel shall be considered).
 - a) Monetary incentive for the principal researcher and co-researchers: it shall not exceed the maximum monthly amount of S/ 2,000.00 for each one, for 30 months as the latest.
 - b) Payment for the thesis students: it shall not exceed the maximum monthly amount of S/ 1,200.00 for each postdoctoral thesis student and S/ 800 for each undergraduate thesis student.
 - c) Payment for the technical personnel: it shall not exceed the maximum monthly amount of S/. 1,000.00 per technician.

C2) Non-Consulting Goods and Services

- It is the expenses for the procurement of natural or legal persons for the execution of specialized technical activities considered critical for achieving the best outcomes of the research project: laboratory services, data collection, sample processing, analysis, and design.

- a) Laboratory services
- b) Data collection
- c) Sample processing, analysis, and design.
- d) Durable goods and equipment (up to 20% of the funded amount for the project implementation).
These are the procurement of minor equipment for the research project.
- e) Materials and supplies
 - i. Materials, supplies, reagents, accessories, electronic or mechanical components, non-inventory goods.
 - ii. Bibliographic material such as manuals, databases, specialized books, others, and/or subscriptions to information networks (physically or electronically).
 - iii. Specialized software for the development of research projects

C3) Operational Costs

- o These are the recurrent expenses of the activities that support project management:
 - a) Travel (up to 30% of the funded amount for the project implementation)
It is the travel expenses related to fieldwork, internships, participation in scientific events and other activities of the research or technology development project. The fundable expenses in this item are:
 - Land tickets, national and international air flights, in economy class.
 - Per diem: it is the expenses for meals, lodging, and transportation (to and from the boarding place), as well as for transportation where the activities are carried out. The concept of per diem is applicable for stays of less than fifteen (15) calendar days, considering the maximum daily constraints detailed in Appendix 2.
 - Living expenses: it includes lodging, meals and local transportation expenses during his/her stay where the grant shall be carried out or transportation related to it. The concept of Living expenses is applicable whenever it is a stay whose duration is greater than or equal to fifteen (15) calendar days, considering the maximum daily constraints detailed in Appendix 3.

- Travel insurance: insurance is mandatory, and its value shall be according to the market price. Its coverage typically includes emergency medical expenses, accidental death, invalidity, and logistical incidents during the trip (delayed flights, delay or loss of luggage, theft, etc.). The price of the insurance may vary according to age, trip duration, and destination. The maximum amount to be funded is S/. 2,000, per person in each travel.
 - Tickets for the Principal incorporated Researcher in case its stay is not continuous during the project implementation (reimbursable expenses).
- b) Dissemination activities:
- Cost of publishing scientific papers in indexed journals
 - Costs related to the presentations of results in scientific events of international scope.
- c) Complementary activities of the research:
- Expenses related to the thesis support rights.
 - Corrective maintenance expenses for the procured equipment or other laboratory equipment to be used in the project
 - Exceptional expenses for the procurement of natural or legal persons for the execution of specialized technical activities considered critical for achieving the best outcomes of the research project: laboratory services, data collection, sample processing, analysis, and design.
 - Expenses associated with the cost of rights, authorizations for research/permission for access to genetic resources, review of ethics committees, in case the AE does not have one in their institution. Likewise, this item includes the procurement of a service that helps to obtain the aforementioned permits.
- d) Intellectual Property
- Fees for the drafting of patents and breeder's certificate.
 - Payment of fees for the registration of international PCT patents or national fees for the registration of patents (of invention and utility models); it includes the expenses for the registration of deposit of microorganisms or the registration of collective knowledge, if any.
 - Payment of fees for obtaining the breeder's certificate, industrial model (in the case of machinery, new processes or products), copyright (only in the case of computer programs and architectural works).

e) Management expenses (up to 10% of the funded amount for project implementation)

- It corresponds to the monetary incentive for the Administrative Coordinator¹⁷, which must not exceed the maximum of S/. 1,000.00 monthly, office supplies, printing services, and other expenses related to project management.

Funding for escalating or commercialization expenses is not allowed.

2.4.3. Counterpart

It is the monetary and/or nonmonetary contribution that the participating entities undertake to give.

For public or private non-profit entities or universities of an associative private legal condition, the monetary and/or non-monetary counterpart of the applicant entity shall be at least 20% of the amount allocated for the research or technological development project implementation, without considering the amount allocated to the fee payment of the incorporated researchers.

For private for-profit entities and universities of a societal private legal condition, the monetary counterpart of the applicant entity shall be at least 20% and the non-monetary counterpart shall be of at least 10% of the amount allocated for project implementation, without considering the amount allocated to the fee payment of the incorporated researchers.

The percentages of the counterpart, according to the Applicant Entity, are shown in the following table 4.

TABLE 4: COUNTERPART

Applicant Entities	Maximum % of funding	Minimum % of the monetary amount of the total cost of the project	Minimum % of the non-monetary amount of the total cost of the project
Private or public non-profit entities or universities of associative private legal condition ¹⁸	80%	0%	20%
Private for-profit entities and universities of societal private legal condition	70%	20%	10%

¹⁷ In the case the Implementing Entity is a Public Entity and the Administrative Coordinator is a worker from such Entity, the monetary incentive shall be considered as a counterpart.

¹⁸ According to Article 115 of Law No. 30220, University Law.

The (valued) monetary or nonmonetary contribution of the entities shall consider the same fundable items under paragraph 2.4.2. (C).

Regarding the (valued) nonmonetary contribution of the Applicant Entity as of the Partner Entity(ies), the following information shall be considered, if applicable:

Items	Nonmonetary Contribution of Entities
C1) Technical staff (Human Resources)	The time (in hours) dedicated to project implementation is valued. The hour is valued according to the remuneration or fees ¹⁹ in the entity.
C2) a-Durable equipment and goods ²⁰	The use of equipment and durable goods of the entity, other than those procured with the grant, is valued according to their lifetime.
C2) b-Materials and supplies C3) Operational costs	These are valued according to their current market cost.

Regarding the monetary contribution, the following shall be considered:

Items	Monetary Contribution of Entities
C1) Technical staff (Human Resources)	The salary of the researchers or additional technicians who shall participate in the research or technological development project(s)
C2) a-Durable equipment and goods	Research equipment that the entity commits to procure in the first year of the agreement for this or another research or technological development project.
C2) b-Materials and supplies C3) Operational costs	Payments or purchases made by the entity regarding the proposal implementation.

Other funding modalities from the Peruvian Government shall not be accepted as a monetary counterpart.

In exceptional cases, some type of additional incentive that the Applicant Entity contemplates for the Incorporated researcher may be considered as a counterpart.

3. APPLICATION

Application submission is made through the following web page: www.fondecyt.gob.pe

If the TC has identified the researcher(s) to be incorporated, they shall jointly prepare the proposal to be submitted to FONDECYT.

¹⁹ Gross remuneration

²⁰ It considers the number of hours of the infrastructure intended for research activities. This contribution is measured in hours/use and includes the valuation of goods and services that such infrastructure requires for its appropriate performance.

In case the CT does not have identified the researchers to be incorporated, it may register its research group or company in the registration platform enabled on the FONDECYT page, which will be public worldwide so that interested researchers may be contacted to jointly prepare the research or technological development project proposal.

Similarly, in the same registry, researchers from different categories may include their areas of interest and their research experience. In this way, the research groups or companies that want to incorporate them may contact the researchers with training or experience abroad to jointly prepare the proposal to be submitted to FONDECYT.

The TC is the only responsible for submitting the application by entering the application system with its username and password given by the STI Vitae.

Each applicant and team member are responsible for updating their Curriculum Vitae (CV) in the STI Vitae with the supporting documents (academic training, work experience, thesis counseling, publication of papers, among others).

3.1. Eligibility

Applications that meet the following requirements will be declared eligible:

- a) For the researchers to be incorporated:
 - For the principal researcher
 - To have a Ph.D. degree obtained abroad or with experience abroad. To have around 10 years of extensive and recognized experience leading research teams and projects.
 - To have scientific papers or publications in indexed journals in international and high-impact databases and/or patents, technological products or development, and experience in training professionals in their specialty field.
 - For the associated researcher
 - To have a Ph.D. degree obtained abroad or with experience abroad. To have around 5 years of extensive and recognized experience participating in research projects. Participation as a thesis student is not considered.
 - To have scientific papers or publications in indexed journals in international and high-impact databases and/or patents, technological products or development, and experience in training professionals in their specialty field.

- For the postdoctoral researcher
 - To have at least a Ph.D. degree obtained abroad since 2014. Degrees obtained by distance mode shall not be considered.
 - To have at least two (02) scientific papers published in indexed journals.
Note:
Those graduated from a doctoral program abroad with a thesis approved at the time of application may apply.

- b) For the applicant entities:
The applicant entities shall meet at least:
 - Regarding the target public, the applicant entity shall comply with the provisions in paragraph 2.2.1
 - To have availability of infrastructure and equipment conditions for the appropriate implementation of the research proposal.
 - Companies shall prove at least two (02) years of continuous operation prior to the date of application, according to the Unique Taxpayer Registry (RUC). Additionally, they shall register an annual sale of more than 150 UIT of the last fiscal year

- c) For the partner entities:
The partner entities shall meet at least:
 - Regarding the target public, the partner entity shall comply with the provisions in paragraph 2.2.2

- d) Formation of the minimum research team of the proposal
 - One (01) principal researcher (Incorporated or from the Applicant Entity)
 - One (01) Co-researcher / Head or project manager
 - Two (02) undergraduate or graduate students
 - One (01) Technical Coordinator
 - One (01) Administrative Coordinator

For the Principal Researcher

- The PR shall have a maximum of two (02) grants in implementation from FONDECYT that includes the development of research projects at the time of applying.
- Those who have a maximum of two (02) grants from FONDECYT in implementation may apply, which include the development of research projects at the time of application. The Consistency Committee shall evaluate the cases in which the PR has more than two (02) projects that are being implemented or close to be completed.
- Those who perform full-time administrative or management activities may apply as long as they do not participate in a research project in progress or to be initiated, except to be selected in this call.
- All proposals shall include a Principal Researcher if the incorporation of a researcher of this category is not considered, a researcher of the applicant or

partner entity that fulfills the requirements shall assume that role, provided that it meets the requirements indicated under paragraph 2.3.2 (B1).

For the Co-Researcher

- All proposals shall include at least one (01) up to a maximum of six (06) coresearchers, comply with the requirements indicated in paragraph 2.3.2 (B2).
- Those who have a maximum of two (02) grants from FONDECYT in implementation may apply, which include the development of research projects at the time of application. The Consistency Committee shall evaluate the cases in which the PR has more than two (02) projects that are being implemented or close to be completed
- Those who perform full-time administrative or management activities may apply as long as they do not participate in a research project in progress or to be initiated, except to be selected in this call.

For the researchers to be incorporated

- Whether senior, associate or post-doctorate, at least 01 shall be an incorporated researcher
- e) For the proposal of the applied research and/or technological development project
- Applicant entities shall commit to giving a monetary and/or non-monetary counterpart according to the provisions under paragraph 2.4.3. of the rules.
 - The thematic area of the project shall be related to the proposed strategic or general sectors.
 - The proposal shall be submitted by the Technical Coordinator.
 - In essence, the proposal shall not contain aspects included in other completed projects or that are in implementation.
 - If a single researcher is incorporated, and this is incorporated into a project in implementation, the proposal shall include different and/or complementary objectives to those already funded and in implementation. The foregoing shall be declared in the application, which shall be detailed in the summary of the proposal.

Projects may be of two types:

- I. Applied research: Original works to acquire new knowledge; it is fundamentally directed towards a specific practical objective.
- II. Technological development (experimental development): Systematic works that take advantage of existing knowledge obtained from research and/or practical experience, and is aimed at the production of new materials, products or devices; at the start-up of new processes, systems, and services, or at the significant improvement of existing ones.

Restrictions and impediments:

- I. That the applicant or associated entity is disqualified from contracting with the Government.
- II. That a research team member performs more than one role in the team. Except, in the case of the Technical Coordinator.
- III. That an applicant entity, the Principal Researcher or a Co-Researcher has pending obligations with the FONDECYT or is registered at the Non-Eligible Registry Persons (RENOES, Spanish acronym for Registro de No Elegibles).
- IV. The principal Researcher shall not be allowed to have the same position in another proposal of this call.
- V. Workers from Concytec or Fondecyt who are currently working or have had a working relationship up to one year prior to the application registration date of the applicant entity, may not apply for this call.

For further information, please see Appendix 8: Eligibility Card

3.2. Application Documents

The registration of the application in the system constitutes the entire proposal: applicant's information, proposal data, work plan, budget, and sworn statement. The following documents will be allowed to be attached in PDF format:

- 1) For the case of Peruvian and foreign incorporated researchers domiciled in Peru, they will register in the application platform through the STI Vitae.
- 2) For the case of Peruvian or foreign non-resident incorporated researchers, they may attach their CV in the application platform according to Appendix 6 form listing their academic degrees, experience leading or participating in research groups or projects and scientific papers or publications in indexed journals in international and of high-impact databases and/or patents, technological products or development.
- 3) For the internal team proposed by the Applicant and Partner Entity (if applicable), the CV of the Research Team members registered at the STI Vitae. The CV is generated automatically at the time of submitting the registration. Before submitting, consider that the CV shall include the following information:
 - a) Professional qualifications and academic degrees: those registered at the SUNEDU will have the option of being imported by the STI Vitae; otherwise if the academic qualification/degree is not registered at the SUNEDU, the applicant shall do the following:

- i. If it is an academic qualification or degree issued by a Peruvian university, it shall be attached manually.
 - ii. If it is a degree issued abroad, it shall be attached manually. In the event it is not registered at SUNEDU, it shall be registered within the 12 months after the signing of the agreement.
- a) For the experience of having participated or led research projects. In the case of the principal researcher, it shall attach the certificate issued by the institution where the project was implemented and/or the entity that granted it. To be valid, the certificate(s) shall contain the title of the project, the funding source, the Principal Searcher's name and role in the project, and the dates in which it was implemented.
 - b) Papers published in indexed journals (imported from the STI Vitae or other journals with peer-review editorial).

In the case of co-researchers of foreign partner entities, they may attach a free-format CV in the application platform.

- 4) Cover and Commitment Letter of the Applicant Entity (Appendix 4)
- 5) Cover and Commitment Letter of the Partner Entity(ies), if applicable (Appendix 5A). For foreign universities, Appendix 5B shall be used.

Those documents that are in a language other than Spanish or English shall be attached with a simple translation, including those attached through the STI Vitae.

The information included in the application submission, including the CV data of the Principal Researcher found in the STI Vitae, shall be considered as a sworn statement.

Documents that require signatures shall be scanned. Signatures that are copied and pasted images shall not be accepted.

3.3. Schedule

The application is made through the modality of an open window, for 180 calendar days, in which there will be five cuts throughout the call, as detailed in the schedule included in this document.

In each cut, the proposals presented shall be evaluated and selected. Non-selected proposals have the opportunity to apply at the next scheduled dates.

Furthermore, in each closing, the achieved goals will be evaluated (research lines and available budget), in the event the goals established in paragraph 2.4. are achieved, the call phase is over, remaining ineffective the schedule of the next cuts.

Any updating of the Schedule shall be informed through the webpage of the Fondecyt.

SCHEDULE

Activity	Date
Call opening	Friday, March 15, 2019
Deadline for directing queries for the integrated rules	Friday, April 5, 2019
Integrated rules publication since	Wednesday, April 24, 2019
1 st Call closing	Wednesday, May 29, 2019, at <u>13:00 local time UTC/GMT -5 hours.</u>
2 nd Call closing	Wednesday, July 24, 2019, at <u>13:00 local UTC/GMT -5 hours.</u>
3 rd Call closing	Wednesday, September 11, 2019, at <u>13:00 local UTC/GMT -5 hour.</u>
Results publication	June – September
Starting period of activities	July - October

3.4. Queries

Queries about the contents of the rules, please write to convocatorias@fondecyt.gob.pe

Queries about the online system operation, please write to mesadeayuda@fondecyt.gob.pe

Queries about the contest results, please write to activatuconsulta@fondecyt.gob.pe

Queries about the submission and operation of the STI Vitae, please write to ctivitae@concytec.gob.pe

4. EVALUATION

FONDECYT, through the Evaluation and Selection Unit (ESU), is responsible for the evaluation and selection process of the proposals submitted in the application phase, until the publication of the contest results.

Affidavits shall be included in the evaluation process, reserving CONCYTEC and FONDECYT the right to request supporting documents when deemed appropriate, keeping the principle of equity for all applicants.

In addition, FONDECYT may use tools to detect plagiarism. If any plagiarism or falsification is detected during the evaluation, selection or implementation process, actions shall be taken in accordance with Directive 01-2017-FONDECYT-DE approving the guidelines for registration and exclusion from the Non-Eligible Registry Persons (RENOES, Spanish acronym for Registro de No Elegibles), or the institution acting as such.

4.1. Evaluation and Selection Process

This process consists of three stages: External Evaluation, Eligibility, and Selection.

4.1.1. External Evaluation

Technical evaluation is carried out by external evaluators in panel mode, according to the application themes and based on the following scale:

Scoring Scale					
Below the expectations	Poor	Fair	Good	Very good	Outstanding
0	1	2	3	4	5

The minimum passing grade is 3.0 points. The panel of external evaluators will approve the proposals whose total average minimal score of 3.0 points.

In the event the minimal approved score is not achieved, the proposal will be considered **NOT APPROVED**.

For the evaluation of proposals in the **academia**, the panel considers the following criteria:

Criterion	%	Sub-criterion	%
I. Relevance and sufficiency of the investigator(s) to be incorporated	25%	1.1 Sufficiency and relevance of the human resource to be incorporated to increase the human capital and the development of the research project for science, technology, and innovation.	40%
	15%	1.2 Foundation of the activities planned by the researchers to be incorporated in the work plan and their coincidence with those of the research group to which they will be incorporated.	
II. Research project profile and its correspondence with the general and strategic areas	20%	2.1 Scientific-technical quality, impact, and sustainability of the proposal.	30%
	10%	2.2 The project is innovative and has correspondence with the general and strategic areas as well as with the priority research areas of the institution.	
III. Competences of the applicant entity (infrastructure, equipment needed to increase the human capital and the development of the research project for science, technology, and innovation)	20%	3.1 Adequate infrastructure to increase the human capital for science, technology, and innovation and the development of the research project.	20%
IV. Sustainability of the proposal and its impact on the creation, strengthening or consolidation of the research line for the entity and prioritized sector.	10%	4.1 Sustainability of the proposal and its impact on the research line and/or prioritized sector and/or a contribution to the improvement of the standard of living of disabled people.	10%

For the evaluation of proposals in the **company**, the panel considers the following criteria:

Criterion	%	Sub-criterion	%
I. Relevance and sufficiency of the investigator(s) to be incorporated	25%	1.1 Sufficiency and relevance of the human resource to be incorporated to increase the human capital and the development of the research project for science, technology, and innovation.	40%
	15%	1.2 Foundation of the activities planned by the researchers to be incorporated in the work plan and their coincidence with those of the research area to which they will be incorporated.	
II. Research project profile and its correspondence with the general and strategic areas	20%	2.1 Scientific-technical quality, impact and sustainability of the proposal.	30%
	10%	2.2 The project is innovative and has correspondence with the general and strategic areas as well as with the priority research areas of the company.	
III. Competences of the applicant entity (infrastructure, equipment needed to increase the human capital and the development of the research project for science, technology, and innovation)	20%	3.1 Adequate infrastructure to increase the human capital for science, technology, and innovation and the development of the research project.	20%
IV. Sustainability of the proposal and its impact on the creation, strengthening or consolidation of the research line and development for the company and prioritized sector.	10%	4.1 Sustainability of the proposal and its impact on the research line and development and/or prioritized sector and/or a contribution to the improvement of the standard of living of disabled people.	10%

The criteria include the evaluation of:

- The research project to be implemented is an improvement /advance of a successful project, completed and funded by the Peruvian Government, for example, a basic and applied research project, and is aimed to work at a technological development level or the postdoctoral researcher to be incorporated has obtained its Ph.D. degree through a grant from the Peruvian Government.
- The Association of Applicant Entities with a fiscal address other than Metropolitan Lima and Callao with highly qualified international or national entities in STI.
- The participation of researchers from different disciplines that give greater effectiveness or permit to obtain a greater impact on the research proposal (principal researcher, associated researcher, or co-researcher).

Results of the Evaluation

The panel shall issue an evaluation card with scores, comments, and recommendations by consensus for each evaluation criterion. Results shall be presented in strict order of merit according to the score obtained (in descending order, from highest to lowest).

Approved	:	Scores with a score greater than or equal to 3.0 points
Not approved	:	Scores with a score lower than 3.0 points

To promote the participation of young researchers, women researchers, and entities from inland provinces, as well as in accordance with paragraph a) article 4 of Law No. 30863, only proposals that obtain an approved score an additional cumulative score will be awarded as appropriate, considering the following criteria:

- 1) For proposals whose principal researcher is a disabled person²¹ or the proposed project is aimed to improve the standards of life of disabled people: 4% of the total score.
- 2) For proposals of entities from inland provinces whose tax domicile is not in Metropolitan Lima and Callao: 4% of the total score.
- 3) For proposals that include partner entities whose tax domicile is not in Lima Metropolitan City and Callao: 10% of the total score obtained.
- 4) For proposals whose principal researcher is a woman: 4% of the total score obtained.
- 5) For proposals whose principal researcher is 35 years old as maximum (at the application closing date): 4% of the total score obtained.

For further information, please see Appendix 9: Evaluation Card.

With the additional score, if applicable, the final score and the list of APPROVED proposals will be obtained, which will be put to the consideration of the Consistency Committee.

Consistency Committee

The APPROVED proposals are put to the consideration of the CC, this committee will select the winning proposals and the alternates taking into consideration and evaluating the following evaluation criteria.

²¹ It must have a certificate of disability granted by health entities of the Ministry of Health as medical centers and hospitals of the MINSA, Regional and Local Governments, by the Health of the Armed Forces, and of the National Police of Peru, by EsSalud, by the National Penitentiary Institute (INPE), and by the health entities of the authorized private clinics.

Criteria	Minimum scale for a proposal to be selected		
	1. Low	2. Medium	3. High
a) That the proposal meets the objectives of the competition and that the proposed work plan is aimed at closing gaps in human resources in CTI and empowering the research capacity of the group and the applicant entity.	-	✓	✓
b) That there is no similarity in the scope of the research project with projects previously funded by FONDECYT-CONCYTEC or another entity belonging to the SINACYT that FONDECYT has access to.	-	-	✓
c) That the <u>product outcomes of the research project</u> show favorable conditions to be scalable or transferable at a later stage of the grant.	-	✓	✓
d) That the proposed research project meets the technological demands of sectoral and regional plans.	-	✓	✓
e) That the proposal responds to 1. STI decentralization policies (projects in collaboration with other institutions) and/or 2. gender inclusion and/or 3. promotion of the development of young researchers and/or 4. benefits people with a disability.	If applicable		
	Meets 1 out of 4	Meets 2 out of 4	Meets 3 or more out of 4
f) That there is an equitable distribution among regions, sectors, and entities	After the application of the previous CC criteria (criteria from a to e), the Committee will apply in order the following levels of decision for each of the elements of the criterion, taking previously into account the total of proposals, the score, and consequent order of merit of the evaluation of the panel.		

	Decision level	Percentage
	1 st Region	50% for Lima and Callao, and 50% other regions
	2 nd Sector	67% for strategic sectors and 33% for general sectors (Item 2.1 of the rules)
	3 rd Entity	No more than 10% of proposals per entity
If when applying the percentage, the result on the number of proposals is less than one, at least one proposal will be selected, if there is a budget.		

50% of the available grants will be awarded to entities from regions other than Lima and Callao. The Committee will monitor the evolution of statistics by region, sector, and entity of the selected proposals in order to be consistent with the percentages indicated in criterion f. Nevertheless, if there are no approved applications from regions other than Lima and Callao, grants may be granted to the other approved proposals according to the available budget.

The proposals that are not selected after applying criterion f of the Committee will be considered the alternate proposals. The alternate proposals of each cut (except those of the last cut), may enter the evaluation process by the Committee only once in the subsequent cut at the time the committee applies criterion f.

The members of the CC have the following profile:

- To possess knowledge of STI and social, economic, and technological aspects in the current context of the country.
- To be a professional or a researcher with experience in management.

The CC is constituted by three (3) members as minimum and five (5) as maximum, who have a voice and a vote, the CC is comprised of:

- One (1) representative of CONCYTEC.
- One (1) representative of the research institute or university.
- One (1) representative of the private sector.
- Two (2) external members (of entities such as CEPLAN, MEF, MINEDU or others).

In addition, the CC has one (1) secretary who is a representative of the ESU, has a voice, but has no vote, its role is to provide necessary information to the CC, coordinate, convene and moderate the sessions.

The CC will issue a Minute that collects the main aspects that were considered in its decision considering the prioritization criteria and relevant aspects that come out of the committee's debate and will include the final list of selected and alternate proposals, if applicable.

Further details of the Consistency Committee may be found in the following link: <http://fondecyt.gob.pe/convocatorias/investigacion-cientifica/incorporacion-de-investigadoresElegibilidad>

It consists of the verification of the eligibility requirements according to the provisions of paragraph 3.1 of these Rules. The fulfillment or non-compliance with these requirements determine the suitable and unsuitable applications, respectively.

Further details may be found in Appendix 4: COVER AND COMMITMENT OF THE APPLICANT ENTITY.

Appendix 4 is considered as a sworn statement and may be verified by the FONDECYT.

4.1.2. Selection or Negotiation

FONDECYT shall notify the applicants, via email, the evaluation results and shall call the applicants selected by the CC, and that are ELIGIBLE, to a negotiation meeting.

The negotiation meeting is led by a Negotiation Committee. This committee shall meet independently with each of the Technical Coordinators of the entities in order to:

- 1) Assure that the selected entity has considered all the improvement suggestions of the evaluators. It is worth mentioning that the evaluation is over and that the score obtained by the selected proposal will not be modified.
- 2) Review the budget and make the necessary adjustments considering the relevance of the expenses. It is worthy to say that the amount requested to the FONDECYT in the application process shall not be increased by any case, as a product of the negotiation.
- 3) Conclude and validate the Operational Plan. Previously, FONDECYT shall provide the Principal Researcher the plan format to attend the meeting with its proposed plan.

If the convened entity withdraws the grant, or does not arrive for negotiation, or does not accept the terms of negotiation, FONDECYT may call the alternate entities determined by the Consistency Committee, if any.

The negotiation meeting shall confirm the list of selected entities. At the end of the meeting, a negotiation minute shall be signed and, with this, the process for signing the grant agreement or contract shall be initiated.

4.2. Publication of Results

FONDECYT shall issue the Executive Direction Resolution with the contest results. The results are definitive and unappealable and shall be published on the FONDECYT web site (www.fondecyt.gob.pe).

4.3. Agreement or Contract

Before signing the agreement or contract, and to deem it convenient, the Evaluation and Selection Unit-ESU of FONDECYT will verify the accuracy and pertinence of the information presented in the application, the capacities and competencies of the participating entities, the support of the corresponding documents or certificates, as well as compliance with the requirements and conditions established.

In case of finding any breach, restriction or impediment, the designation shall be rendered null and void, any signed contract or agreement shall be terminated, and funding may be assigned according to the list of alternate entities and budget availability, as applicable.

In case the selected proposal is being already implemented, the Follow-Up and Monitoring Unit-FMU of FONDECYT may terminate the contract or agreement in case of non-compliance with any of the obligations established in the Follow-Up and Monitoring Guide.

Likewise, in any of the cases, the FONDECYT shall take the corresponding actions regarding the participation of the applicant or associated entity in future calls, as well as the corresponding legal actions.

The agreement shall be signed among the Legal Representative of the Applicant Entity, the Technical Coordinator, and the Executive Director of FONDECYT.

If the selected entity does not arrive to sign the agreement within the deadline established in the notification sent by FONDECYT or withdraws the grant, FONDECYT may assign the funding to the alternate entity according to merit order and budget availability, as applicable. Likewise, in case of withdrawal or resignation without timely justification, FONDECYT may take the corresponding actions regarding its participation in future calls.

FONDECYT shall make the first disbursement once the selected entity provides the name of the bank entity, bank account number and interbank code, to make the deposit and the guarantee letter (in case of being a private entity).

4.3.1. Warranty

In the event of being a (for-profit or non-profit) private entity, the Implementing Entity that is selected shall deliver a letter of credit with the amount corresponding to the 10% of

the first disbursement, as a requirement for the first disbursement. This letter shall:

- 1) Be issued in favor of the National Fund for Scientific, Technological, and Technological Innovation Development, RUC (Spanish acronym for Registro Único del Contribuyente - Unique Taxpayer Registry) No. 20554841555, with legal domicile at Calle Schell 459 Miraflores; in support of faithful compliance with the Agreement signed under the Contest: "Incorporation of Researchers".
- 2) Be unconditional, supportive, irrevocable and of automatic execution in Peru only upon the requirement of the National Fund for Scientific, Technological, and Technological Innovation Development.
- 3) Be issued by a funding institution that is under the supervision of the Superintendencia de Banca, Seguros y Administradoras Privadas de Fondos de Pensiones (Superintendence of Banking, Insurance and Private Administrators of Pension Funds), which shall be authorized to issue guarantees or considered in the updated list of first-class foreign banks that the Banco Central de Reserva del Perú (Central Reserve Bank of Peru) publishes periodically.

The letter of credit shall remain in force during the term of the agreement and shall be executed in case of breach of the agreement by the Implementing Entity, according to the provisions under this Follow-Up and Monitoring Guide.

5. FOLLOW-UP AND MONITORING

Once the agreement is signed, the technical and financial follow-up and monitoring process shall be carried out. This shall be in charge of the Follow-up and Monitoring Unit (FMU) of FONDECYT, aimed at monitoring compliance with the obligations of the borrower, in accordance with the **Follow-up and Monitoring Guidelines**.

In the event the Implementing Entity participates with one or more Partner Entities, as indicated in section 2.2. Target Public, it shall submit, during the first follow-up visit, the Association Agreement signed between these entities, within the winning Project implementation framework, where at least the following aspects shall be established and regulated:

- a) To expressly indicate that it is celebrated within the winning project implementation framework, and according to the Agreement signed between FONDECYT and the Implementing Entity.
- b) Validity, which shall not be less than the validity period of the Agreement signed between FONDECYT and the Implementing Entity.
- c) Principal rights and obligations of the parties.
- d) To indicate the monetary and/or nonmonetary contributions made to the project implementation.
- e) Distribution of the goods procured with funds from the parties.
- f) Aspects regarding intellectual property and copyright, within the project implementation framework
- g) Clause for the treatment of confidential information, and
- h) Topics regarding conflict resolution.

In the event that a member of the research team desists or withdraws from the project, the Executing Entity is obliged to propose a substitute that meets at least the conditions established in paragraph 2.3 of this document and its training is related to the thematic of the research line, the FMU will participate in the selection process in order to evaluate the proposal submitted. If they cannot find a substitute for the incorporated researcher, or if their change is delayed, the technical coordinator, the principal researcher, and the FMU monitor shall reschedule the activities in order to achieve the objectives set by the project.

Procurement and contracting of goods and services within the proposal implementation framework shall be carried out in accordance with the World Bank regulations specified under the section "Procurement Operational Manual" in the Guide.

To be recognized as the outcomes of the grant, all research papers and other publications derived from the grant must clearly indicate the recognition of funding in the form of a sentence that includes the full name of the funding agency and the contract number in brackets:

This work was funded by the National Fund for Scientific, Technological, and Technological Innovation Development (Fondecyt - Peru) within the framework of the

"Project for the Improvement and Extension of the Services of the National System of Science, Technology and Technological Innovation" [contract number] xxx-201_].

If multiple grants are given, they shall be separated by commas and space. If research was funded by more than one agency, the agencies shall be separated by semicolons, with the word "and" before the last agency. For example:

This work was funded by the National Fund for Scientific, Technological and Technological Innovation Development (Fondecyt - Peru) within the framework of the "Project for the Improvement and Extension of the Services of the National System of Science, Technology and Technological Innovation" [contract number xxx-2018, yyy-201_]; the Wellcome Trust [contract number xxxx]; and the Medical Research Council [contract number aaaa].

All authors listed in a publication shall provide relevant information about the respective funding to the corresponding author. It is the responsibility of the contact author to provide such information to the editor in the format specified above.

6. WORLD BANK SAFEGUARDS POLICY IMPLEMENTATION

According to the evaluation and selection method, grant proposals to be funded within the Project with the World Bank shall contain all the environmental forecasts to guarantee its low environmental impact.

In the funding contracts/agreements signed with the borrowers, FONDECYT shall clearly establish the borrowing entity's obligation to comply with the current provisions on environmental management and obtain the environmental permits and licenses from the competent authorities, when necessary. This forecast by FONDECYT ensures project knowledge by the environmental authorities and the inclusion of environmental monitoring control measures during the implementation phase. Depending on technical and environmental considerations, environmental authorities may require regular reports from the borrowing entity.

The Evaluation and Selection Unit – ESU of FONDECYT - collects and groups the grant proposals submitted, and requests external evaluators or "panels of experts", who shall have equal or greater scientific experience than those evaluated. The evaluation of proposals is based on the application forms submitted by the requesting entities, which implies the review of all the aspects.

The general procedure of calls includes a Follow-up and Monitoring phase, in which the technical supervision is carried out, which includes the project environmental management and performance monitoring, and consequently, the establishment of the corrective actions that are deemed necessary.

Conditions where policies are applied:

OP/BP 4.01 Environmental Assessment. When there is evidence of possible temporary or permanent affectation of natural or social environment, through direct, indirect or cumulative impacts. The assessment level depends on environmental risk. It is a requirement for all the proposed projects to obtain funding, aimed at ensuring its environmental soundness and sustainability and therefore improve the decision-making process. During the preparation process of the environmental assessment, a consultation to the project potentially affected groups is carried out, as well as to the civil society organizations of the country, regarding the project environmental aspects; their opinions are considered.

Appendix 7 format provides a list of measures needed to mitigate socio-environmental effects within the World Bank's policies framework.

FINAL PROVISIONS

- FIRST** The unforeseen cases in the Rules, the Agreement or the Follow-up and Monitoring Guidelines shall be resolved by the Executive Directorate of FONDECYT.
- SECOND** Any selected party, that is subject to these Rules, shall conduct its actions without violating the rules on intellectual property. Likewise, if the proposal includes the use of biodiversity resources, the selected parties shall commit to initiating the process of the research authorization request, with or without access collection and/or contract to genetic resources, according to the procedures and regulations of the Sectoral Authorities of Administration and Management.
- THIRD** If the proposal includes work with living beings or biological samples, it must be approved by a Research Ethics Committee²².
- FOURTH** In the event an incorporated researcher is pregnant and/or has two or more children who are less than four years old during the project implementation, an automatic extension of up to 6 months shall be considered, upon request and presentation of supporting documents, and renewable for six more months.
- FIFTH** Communities and individuals who believe being negatively affected by a project supported by the World Bank may submit complaints to existing grievance redress mechanisms at the project level, or to the World Bank's Grievance Redress Facility (GRS). Communities and individuals affected by the project may present their complaint to the independent World Bank Inspection Panel that determines whether the damage occurred or could occur, as a result of noncompliance with World Bank policies and procedures. Complaints may be filed at any time after the concerns have been notified directly to the World Bank, and the Bank's Management has had the opportunity to respond. For information on how to file complaints with the World Bank Corporate Grievance Repair Service (GRS), please visit <http://www.worldbank.org/GRS>. For information on how to file complaints with the World Bank Inspection Panel, please visit www.inspectionpanel.org.

²² In the event that the applicant entity does not have an Institutional Research Ethics Committee, it may appeal to external entities, for example, the INS.

SIXTH CONCYTEC and FONDECYT reserve the right to request the original documents when it deems appropriate. Concytec has the faculty of later control according to paragraph 1.1.6 of subsection 1) of article IV of Law 27444, Law of General Administrative Procedure, and can verify the veracity of the information in any phase of the contest. If the information submitted to the contest is found unreliable, the Applicant Entity shall be eliminated from this call and as future applicant to the following calls for five (05) more years²³.

²³ In accordance with the provisions under paragraph 10.3 of Directive No. 01-2017-FONDECYT-DE, Guidelines for the registration and exclusion from the Non-Eligible registry - RENOES, approved by Resolution No. 086-2017-FONDECYT-DE.

APPENDIX 1

STRATEGIC SECTORS

- 1) **AGROINDUSTRY AND FOOD PROCESSING:** It includes the production and industrialization of agricultural products that transform raw materials into products for food consumption. It also includes those activities aimed at the preparation of food for human consumption from agroindustry and hydro-biological products.
- 2) **WOOD FOREST:** It includes activities associated with the extraction, first and second processing as a result of processing timber raw material. It also includes paper and cardboard manufacture.
- 3) **TEXTILE AND APPAREL:** It includes preparation and spinning of textile fibers (natural and synthetic ones), weaving, the finishing of textile products and clothing, as well as the manufacture of made-up textile items, which includes the manufacture of fabrics, apparel, and related items.
- 4) **MINING AND ITS MANUFACTURING:** It comprises the extraction and preparation of minerals, which includes their manufacturing processes (such as leaching, flotation, refining, etc.), related industries that provide solutions to the mining sector, as well as transformative metallurgy.
- 5) **ADVANCED MANUFACTURING:** It includes the manufacture of existing products from new processes (based on automation, computing, software, among others) and/or of new products that use new technologies (nanotechnology, biotechnology, among others). The concept applies to all industries that have a manufacturing process.
- 6) **ECOTOURISM, RESTORATION AND CREATIVE INDUSTRIES:**
It includes technological development activities associated with:
 - a) Ecotourism, based on the natural landscape enjoyment by people, which is a way of using the forest and other wild vegetation systems. Ecotourism is part of nature tourism, which presents a variety of natural sites such as lakes, rivers, mountains, forests, snow, deserts, among others.
 - b) Restoration and enhancement of archaeological assets, considering the different materials they have, and the degradation causes, evaluating the different cleaning systems, volumetric and chromatic reintegration and their protection, for the recovery of the aesthetic and formal appearance of the object.
 - c) Tourist services based on creative industries, which take advantage of the great variety of human capacities, natural and cultural resources existing in a certain geographical area. Creative industries are those in which the product or service has a substantial artistic or creative element and includes sectors such as architecture and advertising.

APPENDIX 2

MAXIMUM FUNDABLE DAILY AMOUNT FOR A PER DIEM

Geographic Zone	Maximum Daily Amount in Soles ²⁴
National Territory	S/ 320.00
Africa	S/ 1,608.00
Central America	S/ 1,055.25
North America	S/ 1,474.00
South America	S/ 1,239.50
Asia	S/ 1,675.00
Middle East	S/ 1,708.50
Caribe	S/ 1,440.50
Europe	S/ 1,809.00
Oceania	S/ 1,289.75

²⁴ Dollar per diem scale according to Supreme Decree No. 056-2013-PCM. The exchange rate of 3.35 was used, consistent with the end-of-period exchange rate of the Monthly Survey of Macroeconomic Expectations: July 2018 – BCRP (the acronym for Banco Central de Reserva del Peru - Central Reserve Bank of Peru), taken from the Multiannual Macroeconomic Framework 2019-2022.

APPENDIX 3

MAXIMUM FUNDABLE AMOUNT FOR LIVING EXPENSES

Country	Soles / Day
Abkhazia	194
Afghanistan	164
Albania	194
Andorra	194
Angola	145
Antigua & Barbuda	141
Algeria	145
Argentina	115
Armenia	194
Australia	161
Austria	194
Azerbaijan	194
Bahamas	141
Bahrain	181
Bangladesh	164
Barbados	141
Belarus	194
Belgium	194
Belize	115
Benin	145
Bhutan	164
Bolivia	115
Bosnia & Herzegovina	194
Botswana	145
Brazil	132
Brunei	164
Bulgaria	194
Burkina Faso	145
Burma	164
Burundi	145
Cambodia	164
Cameroon	145
Canada	148
Cape Verde	145
Central African Republic	145
Chad	145
Chile	115
Colombia	115
Comoros	145

Country	Soles / Day
Costa Rica	115
Croatia	194
Cuba	141
Cyprus	181
Cyprus	181
Czech Republic	194
Democratic Republic of the Congo	145
Denmark	194
Djibouti	145
Dominica	141
Dominican Republic	141
East Timor	164
Ecuador	115
Egypt	181
El Salvador	115
Equatorial Guinea	145
Eritrea	145
Estonia	194
Ethiopia	145
Fiji	125
Finland	194
France	194
Gabon	145
Gambia	145
Georgia	194
Germany	194
Ghana	145
Greece	194
Grenada	141
Guatemala	115
Guinea	145
Guinea-Bissau	145
Guyana	115
Haiti	141
Holy See	194
Honduras	115
Hungary	194
Iceland	194
India	164

Indonesia	164
Iran	181
Iraq	181
Ireland	194
Israel	181
Italy	194
Ivory Coast (Côte d'Ivoire)	145
Jamaica	141
Japan	164
Jordan	181
Kazakhstan	194
Kenia	145
Kiribati	125
Kosovo	194
Kuwait	181
Kyrgyzstan	164
Laos	164
Latvia	194
Lebanon	181
Lesotho	145
Liberia	145
Libya	181
Liechtenstein	194
Lithuania	194
Luxembourg	194
Madagascar	145
Malawi	145
Malaysia	164
Maldives	164
Mali	145
Malta	194
Marshall Islands	125
Mauricio	145
Mauritania	145
Mexico	148
Micronesia	125
Moldavia	194
Monaco	194
Mongolia	164
Montenegro	194
Morocco	145

Nagorno-Karabakh Republic	194
Namibia	145
Nauru	125
Nepal	164
Netherlands	194
New Russia Federal State	194
New Zealand	125
Nicaragua	115
Niger	145
Nigeria	145
North Korea	164
Norway	194
Oman	181
Pakistan	164
Palau	125
Palestine	181
Panama	115
Papua New Guinea	125
Paraguay	115
People's Republic of China	164
Peru	83
Philippines	164
Poland	194
Portugal	194
Qatar	181
Republic of Congo	145
Republic of Macedonia	194
Romania	194
Ruanda	145
Russia	194
Sahrawi Arab Democratic Republic	145
Saint Kitts and Nevis	141
Saint Vincent and the Grenadines	141
Salomon Islands	125
Samoa	125
San Marino	194
Santa Lucia	141
Sao Tome and Príncipe	145
Saudi Arabia	181
Senegal	145
Serbia	194

Mozambique	145
Sierra Leona	145
Singapore	164
Slovakia	194
Slovenia	194
Somalia	145
Somaliland	145
South Africa	145
South Korea	164
South Ossetia	194
South Sudan	145
Spain	194
Sri Lanka	164
Sudan	181
Surinam	115
Swaziland	145
Sweden	194
Switzerland	194
Syria	181
Taiwan	164
Tajikistan	164
Tanzania	145
Thailand	164

Seychelles	145
Togo	145
Tonga	125
Transnistria	194
Trinidad y Tobago	141
Tunisia	145
Turkey	194
Turkish Republic of Northern Cyprus	194
Turkmenistan	164
Tuvalu	125
Uganda	145
Ukraine	194
United Arab Emirates	181
United Kingdom	203
United States	208
Uruguay	115
Uzbekistan	164
Vanuatu	125
Venezuela	115
Vietnam	164
Yemen	181
Zambia	145
Zimbabwe	145

APPENDIX 4

COVER AND COMMITMENT LETTER OF THE APPLICANT ENTITY

City, of of 2019

Executive Director
National Fund for Scientific, Technological, and
Technological Innovation Development
Lima

Dear Sir,

I am writing as Legal Representative of [.....] (*company name of the Applicant Entity*) domiciled at [.....], RUC No. [.....] to inform you our interest of participating in the Project called [.....], which will apply for the “Incorporation of Researchers” contest.

Our unit [.....] will conduct the project activities our institution will be in charge of.

The staff that will compose the research team is as follows:

Full name	ID Number	Position in the project
		Principal Researcher/Senior
		Incorporated associated researcher
		Incorporated postdoctoral researcher
		Thesis student 1 ²⁵
		Thesis student 2
		Co-researcher/Head or project manager ²⁶
		Technical Coordinator
		Administrative Coordinator

Furthermore, I hereby declare that the proposal meets all the eligibility requirements established in paragraph 3.1 of the contest rules, which are as follows:

²⁵ In the case of not been identified, thesis students shall state “Not identified”

²⁶ In the case of Companies, the Co-researcher may be also called Head or project manager

REQUIREMENTS	Yes (Complete with an X)
a) For the researchers to be incorporated:	
<ul style="list-style-type: none"> • For the principal researcher 	
<ul style="list-style-type: none"> ○ Has at least a Ph.D. degree obtained abroad or with experience abroad. Degrees obtained by distance mode shall not be considered. 	
<ul style="list-style-type: none"> ○ Has <input type="text"/> years leading research teams and projects which are justified in the Curriculum Vitae. 	
<ul style="list-style-type: none"> ○ Has scientific papers or publications in indexed journals in international and high-impact databases and/or patents, technological products or development. 	
<ul style="list-style-type: none"> ○ Has experience in training professionals in its specialty field. 	
<ul style="list-style-type: none"> ○ In the event the PR comes from an internal team: the experience of having led or participated in research projects is supported by a certificate issued by the institution where the project was implemented and/or the entity that granted it. Certificates have the title of the project, the funding source, the applicant's name and role in the project, and the dates in which it was implemented. 	
<ul style="list-style-type: none"> • For the associated researcher 	
<ul style="list-style-type: none"> ○ Has at least a Ph.D. degree obtained abroad or with experience abroad. Degrees obtained by distance mode shall not be considered. 	
<ul style="list-style-type: none"> ○ Has <input type="text"/> years participating in research projects which are justified in the Curriculum Vitae. 	
<ul style="list-style-type: none"> ○ Has scientific papers or publications in indexed journals in international and high-impact databases and/or patents, technological products or development. 	
<ul style="list-style-type: none"> ○ Has experience in training professionals in their specialty field. 	
<ul style="list-style-type: none"> • For the postdoctoral researcher 	
<ul style="list-style-type: none"> ○ Has at least a Ph.D. degree obtained abroad since 2014. Degrees obtained by distance mode shall not be considered. Those graduated from a doctoral program abroad with a thesis approved at the time of application may apply. 	
<ul style="list-style-type: none"> ○ Has at least two (02) scientific papers published in indexed journals. 	
b) About the origin of the researchers to be incorporated:	
<ul style="list-style-type: none"> • If they are from abroad: 	
<ul style="list-style-type: none"> ○ It is a Peruvian or foreigner, domiciled abroad. 	

REQUIREMENTS	Yes (Complete with an X)
<ul style="list-style-type: none"> ○ It is a Peruvian returning to the country within a maximum period of six (06) years 	
<ul style="list-style-type: none"> ○ It is a foreigner entering the country within a maximum period of six (06) years 	
<ul style="list-style-type: none"> • If they are from another region of Peru: 	
<ul style="list-style-type: none"> ○ The domicile region of the researcher to be incorporated is different from the region of the Applicant Entity: Furthermore, the Applicant Entity is in a region different from Metropolitan Lima and Callao. 	
<p>c) For the applicant entities: The applicant entities shall meet at least:</p>	
<ul style="list-style-type: none"> • Regarding the target public, the applicant entity complies with the provisions in paragraph 2.2.1. 	
<ul style="list-style-type: none"> • The applicant entity has availability of infrastructure and equipment conditions for the appropriate implementation of the research proposal. 	
<ul style="list-style-type: none"> • The company demonstrates at least two (02) years of continuous operation prior to the application date, according to the Unique Taxpayer Registry (RUC). Additionally, it has an annual sale of more than 150 UIT of the last fiscal year. 	
<p>d) For the partner entities: The partner entities shall meet at least:</p>	
<ul style="list-style-type: none"> • Regarding the target public, the partner entity complies with the provisions in paragraph 2.2.2. 	
<p>e) Formation of the minimum research team of the proposal²⁷</p>	
<ul style="list-style-type: none"> • One (01) principal researcher (Incorporated or from the Applicant Entity, if applicable) 	
<ul style="list-style-type: none"> ○ The PR of the requesting Entity (if applicable) has a maximum of two (02) grants in execution of FONDECYT participating as Principal Researcher or Co-researcher that includes the development of research projects at the time of application. If they have more than two (02) projects in execution and are close to completion, the consistency committee (CC) will evaluate each case. 	
<ul style="list-style-type: none"> ○ The PR of the applicant Entity (if applicable) performs full-time administrative or management activities and does not participate in a research project in progress or to be initiated, except to be selected in this call. 	
<ul style="list-style-type: none"> • One (01) Co-researcher / Head / Manager / responsible of the project 	
<p>The Co-Researcher has a maximum of two (02) grants in execution of FONDECYT participating as Principal Investigator or Co-researcher that includes the development of research projects at the time of application. If they have more than two (02) projects in execution and are close to completion, the consistency committee (CC) will evaluate each case.</p>	

²⁷ Entities that do not have researchers with experience of having participated in research projects are not restricted to participate since the proposal will be evaluated considering the experience of the researchers to be incorporated and the conditions offered by the applicant entity for the correct development and completion of the proposed project. Every proposal requires a Principal Researcher if the incorporation of a researcher of this category is not considered, a researcher from the applicant or partner entity that fulfills the requirements will assume that role.

REQUIREMENTS	Yes (Complete with an X)
The Co-researcher performs full-time administrative or management activities and does not participate in a research project in progress or to be initiated, except to be selected in this call.	
<ul style="list-style-type: none"> • Two (02) undergraduate or graduate students up to a maximum of (06) 	
<ul style="list-style-type: none"> • One (01) Technical Coordinator 	
<ul style="list-style-type: none"> • One (01) Administrative Coordinator 	
d.1 For the researchers to be incorporated	
<ul style="list-style-type: none"> • Whether principal, associated or postdoctoral, at least 01 is an incorporated researcher 	
f) For the applied research and/or technological development project proposal	
<ul style="list-style-type: none"> ○ Applicant entities commit to providing a monetary and/or non-monetary counterpart according to the provisions under paragraph 2.4.3. of the rules. 	
<ul style="list-style-type: none"> ○ The thematic area of the project is related to the proposed strategic sectors or general sectors. 	
<ul style="list-style-type: none"> ○ In the application platform, the proposal is submitted by the Technical Coordinator. 	
<ul style="list-style-type: none"> ○ The proposal does not contain, in essence, aspects included in other completed projects or that are being implemented. 	
<ul style="list-style-type: none"> ○ If a single researcher is incorporated, and this is incorporated into a project that is in implementation, the proposal includes different and/or complementary objectives to those already funded in implementation and it is declared in the application platform. 	
<ul style="list-style-type: none"> ○ The proposal considers actions to mitigate the socio-environmental effects within the World Bank's policies framework. 	
Restrictions and impediments	
1) The applicant entity is NOT disqualified from contracting with the Government.	
2) None member of the applicant entity performs more than one role in the team. Except, in the case of the Technical Coordinator.	
3) None applicant entity, the Principal Researcher nor any Co-Researcher has pending obligations with the FONDECYT or is registered at the Non-Eligible Registry Persons (RENOES, the Spanish acronym for Registro de No Elegibles).	
4) The principal Researcher does not have the same position in another proposal.	
5) Workers from Concytec or Fondecyt who are currently working or have had a working relationship up to one year prior to the application registration date of the applicant entity, may not apply for this call.	

Thesis students who are part of the research team come from Peruvian universities and are not receiving funds for thesis funding from the Peruvian Government. Thesis students shall be led by the Principal Researcher.

In the event the Principal Researcher is proposed by the intern team and works at the Applicant Entity, it is declared that it will continuously dedicate at least 8 weekly hours during the entire project.

Furthermore, I declare that our organization conducts and/or has the willingness to perform research activities and I undertake to give the necessary support for the proposal

implementation and accept the results of the evaluation.

If selected, our institution and the Technical Coordinator, who has labor and/or contractual relationship, commit to:

1. Signing an agreement with the National Fund for Scientific, Technological, and Technological Innovation Development, within the terms established by FONDECYT.
2. Procuring at least one incorporated researcher for an additional period of 3 years, if good performance, once the grant is completed.
3. Complying with the contributions as indicated in paragraph 2.4.3 Counterpart of the Rules.
4. Providing information to the CONCYTEC and the FONDECYT, during and after the grant, to verify compliance with the commitments acquired.
5. Complying with performing the list of actions established in the proposal to mitigate socio-environmental effects within the World Bank safeguards policy framework.

Sincerely,

(SIGNATURE AND SEAL OF THE LEGAL REPRESENTATIVE)

FULL NAME

ID NUMBER

POSITION AT THE INSTITUTION

ANEXO 4A

**COMMITMENT LETTER TO CONTRACT INCORPORATED RESEARCHERS-
PUBLIC UNIVERSITIES**

Executive Director
National Fund for Scientific, Technological, and
Technological Innovation Development
Lima

Dear Sir,

I am writing as Legal Representative, with valid power of attorney of [.....] (company name of the Applicant Entity) domiciled at [.....], RUC No. [.....] to inform you that if our entity is benefited with a grant from the "Incorporation of Researchers" contest carried out by FONDECYT, we are committed to perform, sufficiently in advance, all actions leading to the hiring of at least one of the incorporated researchers, for an annual renewable period of time, up to at least three (3) additional years after the end of the grant, using the different hiring modalities that the current legal framework allows, such as:

- PhD teacher contract.
- Extraordinary researcher teacher contract.
- Contract under the modality of Administrative Services Contracting (CAS, as its acronym in Spanish).
- Public contest that gives the researcher the possibility of competing to enter the career as a university professor, considering its academic profile and professional experience.

The support of all the actions carried out for hiring the researcher(s) to be incorporated will be available to FONDECYT.

Likewise, during the implementation period of this proposal, we commit ourselves to provide all the facilities to the incorporated researchers, so that they may properly develop the project as indicated in the Operational Plan.

SIGNATURE
FULL NAME
ID NUMBER
POSITION AT THE INSTITUTION

ANEXO 4B

**COMMITMENT LETTER TO CONTRACT INCORPORATED RESEARCHERS-
RESEARCH PUBLIC INSTITUTIONS**

**Executive Director
National Fund for Scientific, Technological, and
Technological Innovation Development**
Lima

Dear Sir,

I am writing as Legal Representative, with valid power of attorney of [.....] (*company name of the Applicant Entity*) domiciled at [.....], RUC No. [.....] to inform you that if our entity is benefited with a grant from the "Incorporation of Researchers" contest carried out by FONDECYT, we are committed to perform, sufficiently in advance, all actions leading to the hiring of at least one of the incorporated researchers, for an annual renewable period of time, up to at least three (3) additional years after the end of the grant, using the different hiring modalities that the current legal framework allows, as the Administrative Services Contracting (CAS, as its acronym in Spanish).

The support of all the actions carried out for hiring the researcher(s) to be incorporated will be available to FONDECYT.

Likewise, during the implementation period of this proposal, we commit ourselves to provide all the facilities to the incorporated researchers, so that they may properly develop the project as indicated in the Operational Plan.

SIGNATURE
NAMES AND LASTNAME
ID NUMBER
POSITION AT THE INSTITUTION

APPENDIX 5A

COVERING AND COMMITMENT LETTER OF THE PARTNER ENTITY

City, of of 2019

Executive Director
National Fund for Scientific, Technological, and
Technological Innovation Development
Lima

Dear Sir,

I am writing as Legal Representative of [.....] (*name of the Applicant Entity*) domiciled at [.....], RUC No. [.....] to inform you our interest of participating in the project named [.....], which shall apply for the “Incorporation of Researchers” contest.

The project activities to be performed by our institution will be in charge of our [.....] unit, and the staff that will compose the research team is as follows:

Full name	ID Number	Position at the institution	Department	Position in the project
				Co-researcher
				Others

These people meet the requirements established.

Regarding our participation in the project, we will contribute to the project with a monetary amount of S / and a nonmonetary amount of S/

Finally, I declare that our organization conducts research activities.

Sincerely,

 (SIGNATURE)*
 NAMES AND LASTNAME
 ID NUMBER
 POSITION AT THE INSTITUTION

* This appendix may be submitted with the signature of the Dean or whoever acts at the time of the application, but then in the negotiation stage, the appendix shall be presented with the signature of the legal representative of the Associated Entity, an indispensable requirement for the aforementioned stage.

APPENDIX 5B
APPENDIX 5B: FOR INTERNATIONAL ENTITIES

LETTER OF COMMITMENT

Mr.
Executive Director
Fondo Nacional de Desarrollo Científico y Tecnológico y
de Innovación Tecnológica
(National Fund for Scientific and Technological
Development and Innovation)
Lima.-

Hereby, I express the interest of the institution, as a competent authority, to participate with the Applicant Entity in the research project submitted to the “Incorporation of Researchers” Call.

Our commitment supporting the project consists of (brief description):
[.....]

Next, the list of people of the institution that will participate

Name and last name	Role in the project
	Co-researcher

Sincerely

(SIGNATURE)
NAMES AND LASTNAME
POSITION

APPENDIX 6

CV FORM FOR NON-RESIDENT RESEARCHER IN PERU

GENERAL DATA

First and last name	
Home address	
Telephone/Mobile	
E-mail	
DOB	
ORCID NUMBER	

Instructions:

Complete the tables from the most recent activity. Maximum 3 pages

EDUCATION (university and graduate)

DEGREE	INSTITUTION	COUNTRY	YEAR OF DEGREE

WORK EXPERIENCE

FROM	TO	INSTITUTION / ORGANIZATION	COUNTRY	POSITION

PROJECT EXPERIENCE (LEAD RESEARCHER / RESEARCHER)

FROM	TO	INSTITUTION / ORGANIZATION	COUNTRY	POSITION

TEACHING EXPERIENCE/THESIS ADVISOR

FROM	TO	INSTITUTION	POSITION

LIST OF PUBLICATIONS (most relevant and related to the Project)

DATE	TYPE OF MEDIA (book, journal or other)	TITLE	TITLE OF PUBLICATION (Include Abstract URL)

URL: *Uniform Resource Locator*

(SIGNATURE)

FULL NAME

APPENDIX 7

LIST OF MEASURES TO MITIGATE SOCIO-ENVIRONMENTAL EFFECTS WITHIN THE WORLD BANK SAFEGUARD POLICIES FRAMEWORK

WB Operational Policies	Environmental/Social Effects	Answer	Measures to be adopted
	Project execution will affect:	Yes/No	
Environmental Assessment: OP/BP 4.01	Changes in water quality		
	Discharges of chemical waste and heavy metals to water bodies		
	Pollution with solid waste to water bodies		
	Use of agrochemicals		
	Loss of water source		
	Soil erosion effects		
	Loss of organic matter in the soil		
	Soil structure affectation		
	Soil pollution because of solid waste		
	Pollution by toxic or hydrocarbon objects		
	Particulate material contribution		
	Emission generation		
	Affectation to natural ecosystems		
	Introduction of exotic species – development and introduction of living modified organisms (LMOs)		
	Agrobiodiversity loss		
	In natural protected zones or sites		
	Alteration in the agricultural landscape		
	New or existing installments that represent health and security risk for people (non-fenced reservoirs, mechanical equipment, and beneficial plants, etc.)		
Health risk from equipment use (i.e., mowers, equipment for fumigation, etc.)			
Others (specify):			

APPENDIX 8

**ELIGIBILITY CARD
“INCORPORATION OF RESEARCHERS COMPETITION”
Competition Code: E038-2019-01-BM**

No.	Eligibility	Review
1	<p>Participating entities shall accomplish the provisions under Section 2.2, Target Public</p>	
1.1	<p>The Applicant Entity (AE) is an entity constituted in Peru, responsible for submitting the application. The applicant entity may be of two types:</p> <p>c) Academic</p> <ul style="list-style-type: none"> Universities that are licensed or in the process to be licensed by the SUNEDU by the closing date for application. Public or private Research Institutes or Centers. <p>IMPORTANT NOTE: International Centers, resident or not in Peru, are excluded as international centers, that is to say, offshore organizations resident or not in Peru receive funding from foreign governments, foundations or organizations. Consequently, they are not allowed to apply for this instrument as an Applicant Entity.</p> <p>d) Company²⁸ For companies, these may or may not have areas that develop R&D+i in their organization. They may be public or private companies of the public or private service or productive sector. In the event they do not have the areas previously mentioned, it shall demonstrate its willingness to develop research activities, technological development or technological innovation in its organization, committing to provide the facilities for the proper development of the proposed activities. If associated, the partnership may be possible only with:</p>	<p>Universities: Universities shall be licensed or in the process to be licensed by the SUNEDU by the closing date for application. Visit SUNEDU's web site. https://www.sunedu.gob.pe/avances-licenciamiento/</p> <p>Research Institutes or Centers For public ones, the I National Census of Research and Development of Research Centers 2016 https://portal.concytec.gob.pe/images/publicaciones/censo_2016/libro_censo_nacional.pdf For private and public research institutes that are not in the census; they shall demonstrate their research functions provided in their creation regulation, rules of organization and functions or laws.</p> <p>Public or private companies of the service or productive sector.</p> <ul style="list-style-type: none"> They shall demonstrate at least two (02) years of continuous work prior to the application date, according to the Unique Taxpayer Registry (RUC). They shall have a sale amount higher than 150 UIT. its SUNAT sworn statement of annual income will be reviewed.

²⁸ Legislative Decree No.1086. Law on the Promotion of Competitiveness, Formalization, and Development of Micro and Small Enterprises and Access to Decent Employment.

	<ul style="list-style-type: none"> Universities that are licensed or in the process to be licensed by the SUNEDU, or Public Research Institutes or Centers 	
	<p>e) Cover and Commitment Letter of the Applicant Entity</p>	<p>Appendix 4 will be revised. It shall be duly signed. The signature shall be original, attached signatures will not be accepted.</p>
<p>1.2</p>	<p>The Partner Entity (OPTIONAL) is a public or private organization legally constituted in Peru or abroad, different from the Applicant Entity (different RUC), that shall have an active role in the research or technological development project, shall provide a monetary and/or non-monetary counterpart, and shall participate with at least one (01) co-researcher, that may be:</p> <ul style="list-style-type: none"> Universities that are licensed or in the process of being licensed by SUNEDU. Foreign universities Higher Education institutions and/or Higher Education Schools authorized by MINEDU or licensed as IES (Institutos de Educación Superior – Higher Education Institutes). Public Research Centers or Institutes Public institutions, ministries, or other public institutions with technological development and research groups. Non-profit private associations²⁹ that develop and/or promote research in STI according to their social purpose. Peruvian companies Public or Private Centers of Productive Innovation and Technology Transfer (Centros de Innovación Productiva y Transferencia Tecnológica – CITEs, by its acronym in Spanish). International Research Centers resident or not in Peru. 	<p>Universities: Universities shall be licensed or in the process to be licensed by the SUNEDU by the closing date for application. Visit SUNEDU's web site. https://www.sunedu.gob.pe/avances-licenciamiento/</p> <p>Higher Education institutions and/or Higher Education Schools In accordance with Law 30512, Law of Institutes and Schools of Higher Education and of the Public Career of their Teachers. https://gobpeproduction.s3.amazonaws.com/uploads/document/file/152665/035-2018-MINEDU-01-02-2018114105-RMN035-2018-MINEDU.pdf</p> <p>Research Institutes or Centers For public entities, the I National Census of Research and Development of Research Centers 2016 https://portal.concytec.gob.pe/images/publicaciones/censo2016/libro_censo_nacional.pdf For private and public research institutes that are not in the census; they shall demonstrate their research functions provided in their creation regulation, rules of organization and functions or laws</p> <p>Private Associations; research activities in their regulations will be revised.</p> <p>Public or private companies of the service or productive sector. They shall demonstrate at least two (02) years of continuous work prior to the application date, according to the Unique Taxpayer Registry (RUC). They shall have a sale amount higher than 150 UIT. its SUNAT sworn statement of annual income will be revised.</p>

²⁹ According to the Civil Code, these may be associations, foundations or committees.

		<p>Public or Private Centers of Productive Innovation and Technology Transfer (CITEs). According to their RUC at SUNAT.</p> <p>For International Research Centers, <u>domiciled</u>. The identification will be determined based on their RUC – SUNAT card, Section “Type of Taxpayer”.</p> <p>All AE shall have their RUC as “active” if it is a national one, except the universities.</p>
	a) Cover and Commitment Letter(s) of the Applicant Entity(ies), if applicable	Appendix 5A or 5B duly signed will be reviewed. The signature shall be original, attached signatures will not be accepted.
2	Incorporated researchers of the proposal	
	<p>a) One principal researcher (maximum 1)</p> <p>b) Associated researcher (maximum 2)</p> <p>c) Postdoctoral researcher (maximum 3)</p> <p>At least 1 incorporated researcher</p>	
	One principal researcher	<p>Its Curriculum Vitae (CV) will be verified based on:</p> <ul style="list-style-type: none"> - The CV of the STI Vitae (The CV is generated automatically in the application platform at the time of submitting the registration). - For foreigners; in the researchers’ database of their country or in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform.
2.1	a) Has at least a Ph.D. degree obtained abroad or with experience abroad. Degrees obtained by distance mode shall not be considered	<p>The revision will be done via two means:</p> <ul style="list-style-type: none"> - STI Vitae - If foreigners, in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform. - For researchers registered at the STI Vitae; consider that the professional titles and academic degrees are those registered at the SUNEDU; on the contrary, if the title/degree is not registered at the SUNEDU, the applicant shall have attached it manually.
	b) Has around 10 years of extensive and recognized experience leading research teams and projects.	<p>The revision will be done based on the number of years of experience declared on the application platform which Will be reviewed via two means:</p> <ul style="list-style-type: none"> - STI Vitae - For foreigners, in the CV form for the non-

		<p>resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform.</p>
	<p>c) Has scientific papers or publications in indexed journals in international and high-impact databases and/or patents, technological products or development and experience training professionals in its specialty field.</p>	<p>Revision will be done via two means:</p> <ul style="list-style-type: none"> - STI Vitae - For foreigners, in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform. - Scientific publication databases
	<p>d) Origin of the researchers</p> <ol style="list-style-type: none"> 1. From abroad: the principal, associated or postdoctoral researcher may be: <ul style="list-style-type: none"> ✓ Peruvian or foreigner, domiciled abroad. ✓ Peruvian returning to the country within a maximum period of six (06) years. ✓ Foreigners entering the country within a maximum period of six (06) years 2. From another region of the country: There is no time constraint for returning or entering the country. The domicile region of the researcher to be incorporated shall be different from the region of the Applicant Entity: Furthermore, the Applicant Entity shall be in a region different from Metropolitan Lima and Callao. 	<ul style="list-style-type: none"> - Application forms will be reviewed.
	<p>Associated researcher</p>	<p>Its Curriculum Vitae (CV) will be verified based on:</p> <ul style="list-style-type: none"> - The CV of the STI Vitae (The CV is generated automatically in the application platform at the time of submitting the registration), or - For foreigners, in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform (the CV pdf shall be attached on the application platform)

2.2	<p>a) Has at least a Ph.D. degree obtained abroad or with experience abroad. Degrees obtained by distance mode shall not be considered.</p>	<p>The revision will be done via two means:</p> <ul style="list-style-type: none"> - STI Vitae - For foreigners, in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform. <p>For researchers registered at the STI Vitae; consider that the professional titles and academic degrees are those registered at the SUNEDU; on the contrary, if the title/degree is not registered at the SUNEDU, the applicant shall have attached it manually.</p>
	<p>b) Has around 5 years of extensive and recognized experience leading research teams and projects. Participation as a thesis student is not considered.</p>	<p>Revision will be done based on the number of years of experience declared on the application platform which Will be reviewed via two means:</p> <ul style="list-style-type: none"> - STI Vitae - For foreigners, in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform.
	<p>c) Has scientific papers or publications in indexed journals in international and high-impact databases and/or patents, technological products or development and experience training professionals in its specialty field.</p>	<p>The revision will be done via two means:</p> <ul style="list-style-type: none"> - STI Vitae - For foreigners, in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform. - Scientific publication databases
	<p>d) Origin of the researchers</p> <ol style="list-style-type: none"> 1. From abroad: the principal, associated or postdoctoral researcher may be: <ul style="list-style-type: none"> ✓ Peruvian or foreigner, domiciled abroad. ✓ Peruvian returning to the country within a maximum period of six (06) years. ✓ Foreigners entering the country within a maximum period of six (06) years 2. From another region of the country: There is no time constraint for returning or entering the country. The domicile region of the researcher to be incorporated shall be different from the region of the Applicant Entity; Furthermore, the Applicant Entity shall be in a region different from Metropolitan Lima and Callao. 	<ul style="list-style-type: none"> - Application forms will be revised.
2.3	<p>Postdoctoral Researcher</p>	<p>Its Curriculum Vitae (CV) will be verified based on:</p> <ul style="list-style-type: none"> - CV of the STI Vitae (The CV is generated

		<p>automatically in the application platform at the time of submitting the registration), or</p> <ul style="list-style-type: none"> - For foreigners; in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform (the CV pdf shall be attached in the application platform).
	<p>a) Has at least a Ph.D. degree obtained abroad since 2014. Degrees obtained by distance mode shall not be considered.</p> <p>Those graduated from a doctoral program abroad with a thesis approved at the time of application may apply.</p>	<p>The revision will be done via two means:</p> <ul style="list-style-type: none"> - STI Vitae or - For foreigners, in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform (the CV pdf shall be attached in the application platform). - For researchers registered at the STI Vitae; consider that the professional titles and academic degrees are those registered at the SUNEDU; on the contrary, if the title/degree is not registered at the SUNEDU, the applicant shall have attached it manually.
	<p>b) Has at least two (02) scientific papers published in indexed journals.</p>	<p>The revision will be done via two means:</p> <ul style="list-style-type: none"> - STI Vitae or for foreigners, in the CV form for the non-resident researcher in the country (Appendix 6); which shall be attached in pdf on the application platform. - Scientific publication databases
	<p>c) Origin of the researchers</p> <p>1. From abroad: the principal, associated or postdoctoral researcher may be:</p> <ul style="list-style-type: none"> ✓ Peruvian or foreigner, domiciled abroad. ✓ Peruvian returning to the country within a maximum period of six (06) years. ✓ Foreigners entering the country within a maximum period of six (06) years <p>2. From another region of the country: There is no time constraint for returning or entering the country. The domicile region of the researcher to be incorporated shall be different from the region of the Applicant Entity: Furthermore, the Applicant Entity shall be in a region different from Metropolitan Lima and Callao.</p>	<ul style="list-style-type: none"> - Application forms will be revised.

<p>3</p>	<p>Formation of the minimum research team proposed by the Applicant and Partner Entity (this last one, if applicable)</p>	
	<ol style="list-style-type: none"> 1. One (01) principal researcher (Incorporated or from the Applicant Entity) 2. One (01) Co-researcher / Head or project manager 3. Two (02) undergraduate or graduate students 4. One (01) Technical Coordinator 5. One (01) Administrative Coordinator 	
<p>3.1</p>	<p>Principal researcher</p> <ul style="list-style-type: none"> - In the event the proposal does not incorporate a Principal Researcher, the Applicant Entity (or Partner, if applicable) shall propose one with the same characteristics provided under paragraph (A1) Principal Researcher, except for the experience, in which case the experience abroad or in the national territory shall be considered. - Considering that the proposed Principal researcher works at the Applicant Entity, it shall continuously dedicate at least 8 hours a week to the project throughout the entire project. - In the event the PR comes from an internal team: the experience of having led or participated in research projects is supported by a certificate issued by the institution where the project was implemented and/or the entity that granted it. Certificates have the title of the project, the funding source, the applicant's name and role in the project, and the dates in which it was implemented. - If the PR comes from the internal team, those with a maximum of two (02) FONDECYT grants in implementation may apply, participating as a Principal Researcher or Co-researcher which includes the development of research projects at the time of application. If they have more than two (02) projects in implementation and are close to completion, the consistency committee (CC) shall evaluate each case. - If the PR comes from the internal team and performs full-time administrative or management activities, they may apply as long as they do not participate in a research project in progress or to be initiated, except to be selected in this call. 	<p>Its inclusion in the application platform and in the Appendix 4 format will be revised.</p> <p>The requirements are the same as under paragraph 2.1; a, b, and c, of this eligibility card.</p> <p>Its inclusion in the Appendix 4 format will be revised.</p> <p>It will be verified that the certificate is included in the CV of the STI Vitae (the CV is generated automatically in the application platform at the time of submitting the registration).</p> <p>FONDECYT's database of projects in implementation will be reviewed.</p> <p>Appendix 04 will be reviewed.</p>

3.2	<p>Co-researcher (or whoever acts as such in the organization). For companies, it is the equivalent for the Head/Manager of the project or similar.</p> <p>At least one (01) up to a maximum of six (06) co-researchers per research proposal submitted may participate.</p>	<p>Its Curriculum Vitae (CV) will be verified based on:</p> <ul style="list-style-type: none"> - The CV of the STI Vitae (The CV is generated automatically in the application platform at the time of submitting the registration), or - For foreigners; in the free-format CV (the CV pdf shall be attached in the application platform). <p>Its inclusion in the platform and in the Appendix 4 format will be revised.</p>
	a) To have a professional degree and/or Masters' degree.	<p>The revision will be done via two means:</p> <ul style="list-style-type: none"> - STI Vitae or - In the researchers' database of the country of origin (foreigners) or free format. <p>For researchers registered at the STI Vitae; consider that the professional titles and academic degrees are those registered at the SUNEDU; on the contrary, if the title/degree is not registered at the SUNEDU, the applicant shall have attached it manually.</p>
	b) It is desirable to have participated in at least one research project.	
	c) Local team Co-researchers shall dedicate at least 8 hours a week to the project during their participation in the project	Appendix 04 will be reviewed
	d) Those who have a maximum of two (02) grants from FONDECYT may apply, participating as a Principal Researcher or Co-researcher which includes the development of research projects at the time of application. If they have more than two (02) projects in implementation and are close to completion, the consistency committee (CC) shall evaluate each case.	FONDECYT's database of projects in implementation will be reviewed.
	e) Those who perform full-time administrative or management activities may apply as long as they do not participate in a research project in progress or to be initiated, except to be selected in this- call.	Appendix 04 will be reviewed

3.3	<p>Two (02) undergraduate or graduate thesis students</p> <p>A minimum of two (02) and a maximum of six (06) thesis students may participate per research proposal submitted.</p> <p>The thesis student may be identified or not during the application; if not identified, it shall be incorporated during the project implementation.</p>	<p>Its inclusion in the application platform and in the Appendix 4 format will be revised.</p>
	<p>a) Students or graduated students from Peruvian universities. They shall not have any thesis grant from the Peruvian government.</p>	<p>Its inclusion in the Appendix 4 format will be revised.</p>
3.4	<p>One (01) Technical Coordinator</p> <p>It may be part of the research team as Principal Researcher or Co-researcher, only if it accomplishes the minimum requirements, as applicable (B1 or B2) and has labor or contractual relationship with the applicant entity when applying.</p>	<p>Its inclusion in the application platform and in the Appendix 4 format will be revised.</p>
	<p>a) Labor or contractual relationship with the applicant entity when applying.</p>	<p>Appendix 4 format will be revised. (It is mentioned in a paragraph).</p>
3.5	<p>One (01) Administrative Coordinator</p>	<p>Its inclusion in the application platform and in the Appendix 4 format will be revised.</p>
4	<p>For the proposal of the applied research and/or technological development project</p> <p>a) Applicant entities shall commit to giving a monetary and/or non-monetary counterpart according to the provisions under paragraph 2.4.3. of the rules.</p> <p>b) The thematic area of the project shall be related to the proposed strategic or general sectors.</p> <p>c) In the application platform, the proposal shall be submitted by the Technical Coordinator.</p> <p>d) In essence, the proposal shall not contain aspects included in other completed projects or that are in implementation.</p> <p>e) If a single researcher is incorporated, and this is incorporated into a project in implementation, the current proposal shall include different and/or complementary objectives to those already funded and in implementation. The foregoing shall be declared in the application.</p> <p>f) The proposal considers actions to mitigate the socio-environmental effects within the World Bank's policies framework.</p>	<p>Percentages of the monetary and non-monetary collaboration of each participating entity will be revised in the Application platform.</p> <p>Application platform will be revised.</p> <p>Application platform will be revised.</p> <p>Application platform and the database available by FONDECYT will be revised.</p> <p>Appendix 04 will be reviewed.</p> <p>Appendix 6 will be revised.</p>

RESTRICTIONS AND IMPEDIMENTS		
1	That an applicant entity is disqualified from contracting with the Government.	OSCE web site will be revised. http://www.osce.gob.pe/consultasenlinea/inhabilitados/busqueda.asp?action=enviar&valor=1
2	That a research team member performs more than one role in the team. Except, in the case of the Technical Coordinator.	Application forms will be revised.
3	That an applicant entity, the Principal Researcher or a Co-Researcher has pending obligations with the FONDECYT or is registered at the Non-Eligible Registry Persons (RENOES, the Spanish acronym for Registro de No Elegibles), or whoever is acting as such.	RENOES or whoever acting as such will be revised
4	The principal Researcher shall not have the same position in another proposal.	Application forms will be revised.
5	Workers from Concytec or Fondecyt who are currently working or have had a working relationship up to one year prior to the application registration date of the applicant entity, may not apply for this call.	Appendix 04 will be reviewed.

NOTES:

- Applications that do not meet the requirements of the eligibility card will be declared as **NOT ELIGIBLE**.

APPENDIX 9 EVALUATION CARD – ACADEMIC

Criterion	%	Sub-criterion	%	Conversion factor	Reference for the evaluator	Scoring scale	Justification for the score awarded (per each criterion)	Improvement suggestions (per each criterion)
I. Relevance and sufficiency of the investigator(s) to be incorporated	40%	1.1 Sufficiency and relevance of the human resource to be incorporated to increase the human capital and the development of the research project for science, technology, and innovation.	25%	0.25	Is the human resource to be incorporated suitable and sufficient to increase the human capital and the development of the research project for science, technology, and innovation? (academic formation, networks, professional aptitude, and experience, in accordance with the activities and goals of the proposal). Is the human capital formed by researchers of different disciplines that give greater effectiveness or permit to obtain a greater impact on the research proposal?	Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points		
		1.2 Foundation of the activities planned by the researchers to be incorporated in the work plan and their coincidence with those of the research group to which they will be incorporated.	15%	0.15	Are the activities described in the work plan of the entity, internal team and researchers incorporated coherent and contribute to increasing the human capital and congruent with the research project implementation? Are the activities described in the work plan aligned and contribute to the development and growth of the research group to which they are incorporated?	Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points		

II. Research project profile and its correspondence with the general and strategic areas	30%	2.1 Scientific-technical quality, impact, and sustainability of the proposal.	20%	0.20	<p>Is the proposal implementation properly justified? Is it consistent and evidences a positive impact on the research line? Are the objectives clear, consistent and possible to be implemented? Are the activities considered coherent and consistent; allowing the achievement of the expected outcomes? Does the state of the art denote the most advanced and current references on the research project? Is the methodology coherent and consistent with the objective of the project? Are the expected results consistent and coherent with the objectives? Is the budget consistent with the activities and objectives of the project? Is the project an improvement or advancement of a (successful) project, completed and funded by the Peruvian Government? For example, a project that proposes a technological development; as a consequence of a basic and applied research project already implemented, or that the postdoctoral researcher to be incorporated has obtained the degree of Doctor through a grant from the Peruvian Government. The project incorporates market and commercial prospecting actions for the expected outcomes.</p>	<p>Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points</p>		
		2.2 The project is innovative and has correspondence with the general and strategic areas as well as with the priority research areas of the institution.	10%	0.10	<p>Does the project to be implemented complements and extends the degree of novelty of the proposal, supported by evidence of success? Is the project framed within one or more of the general and strategic areas? Is the project within the priority research areas of the institution in the last 5 years?</p>	<p>Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points</p>		
III. Competences of the applicant entity (infrastructure, equipment needed to increase the human capital and the	20%	3.1 Adequate infrastructure to increase the human capital for science, technology, and innovation and the development of the research project.	20%	0.20	<p>Are infrastructure and equipment adequate to increase human capital and implementation of the research project for science, technology, and innovation? As for example: Instrumental, laboratory equipment, computer equipment, experimental services, documentary and bibliographic</p>	<p>Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points</p>		

development of the research project for science, technology, and innovation)					<p>collection (own and external) that the applicant entity provides to the incorporated researcher(s). In the event the PR comes from the internal team of the applicant and partner entity (if applicable), is it considered adequate and sufficient to increase the human capital and implementation of the research project? Is the Applicant Entity associated with highly qualified international or national entities in STI that contribute to increase human resources to carry out quality science and technology activities; contributes to the transfer and/or generation of new knowledge, scientific publications of high impact, creation of scientific networks, technological developments and/or technological products? in order to generate impact in the creation, strengthening or consolidation of research lines that respond to the demand of the productive sector and/or society.</p>	Outstanding: 5 points		
IV. Sustainability of the proposal and its impact on the creation, strengthening or consolidation of the research line for the entity and prioritized sector.	10%	4.1 Sustainability of the proposal and its impact on the research line and/or prioritized sector and/or a contribution to the improvement of the standard of living of disabled people.	10%	0.10	<p>Have concrete actions that contribute to the sustainability of the research line or the area of technological development of the entity been defined? For instance, is there a plan for the insertion of the human resources incorporated, plan for the acquisition of equipment or other necessary conditions that contribute to the sustainability of the proposal? Is it being considered to manage additional funding through other projects to give sustainability to the research line or the area of technological development of the entity? What research result dissemination strategies towards their application are being considered? Does the proposal help contribute to the strengthening, consolidation or creation of a new research line and/or the prioritized sector? The main objective of the project is to improve the living standards of people with disabilities (PwD) (to promote the research and development of goods, services, equipment and facilities, plans, projects and programs and/or to promote research and development of new technologies, including</p>	<p>Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points</p>		

					information and communication technologies, mobility aids, technical devices and support technologies and/or make available to the PwD all possible information on mobility aids, technical devices and support technologies. Law 30863 Article 5 about the STI projects prioritized for PwD).			
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EVALUATION CARD – COMPANY

Criterion	%	Sub-criterion	%	Conversion factor	Reference for the evaluator	Scoring scale	Justification for the score awarded (per each criterion)	Improvement suggestions (per each criterion)
I. Relevance and sufficiency of the investigator(s) to be incorporated	40%	1.1 Sufficiency and relevance of the human resource to be incorporated to increase the human capital and the development of the research project for science, technology, and innovation.	25%	0.25	Is the human resource to be incorporated suitable and sufficient to increase the human capital and the development of the research project for science, technology, and innovation? (academic formation, networks, professional aptitude, and experience, in accordance with the activities and goals of the proposal). Is the human capital formed by researchers of different disciplines that give greater effectiveness or permit to obtain a greater impact on the research proposal?	Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points		
		1.2 Foundation of the activities planned by the researchers to be incorporated in the work plan and their coincidence with those of the research area to which they will be incorporated.	15%	0.15	Are the activities described in the work plan of the entity, internal team and researchers incorporated coherent and contribute to increasing the human capital and congruent with the research project implementation? Are the activities described in the work plan aligned and contribute to the development and growth of the research group to which they are incorporated?	Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points		

II. Research project profile and its correspondence with the general and strategic areas	30%	2.1 Scientific-technical quality, impact, and sustainability of the proposal.	20%	0.20	<p>Is the proposal implementation properly justified? Is it consistent and evidences a positive impact on the research line? Are the objectives clear, consistent and possible to be implemented? Are the activities considered coherent and consistent; allowing the achievement of the expected outcomes? Does the state of the art denote the most advanced and current references on the research project? Is the methodology coherent and consistent with the objective of the project? Are the expected results consistent and coherent with the objectives? Is the budget consistent with the activities and objectives of the project? Is the project an improvement or advancement of a (successful) project, completed and funded by the Peruvian Government? For example, a project that proposes a technological development; as a consequence of a basic and applied research project already implemented, or that the postdoctoral researcher to be incorporated has obtained the degree of Doctor through a grant from the Peruvian Government. The project incorporates market and commercial prospecting actions for the expected outcomes.</p>	<p>Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points</p>		
		2.2 The project is innovative and has correspondence with the general and strategic areas as well as with the priority research areas of the company.	10%	0.10	<p>Does the project to be implemented complements and extends the degree of novelty of the proposal, supported by evidence of success? Is the project framed within one or more of the general and strategic areas? Is the project within the priority research areas of the institution in the last 5 years?</p>	<p>Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points</p>		

<p>III. Competences of the applicant entity (infrastructure, equipment needed to increase the human capital and the development of the research project for science, technology, and innovation)</p>	<p>20%</p>	<p>3.1 Adequate infrastructure to increase the human capital for science, technology, and innovation and the development of the research project.</p>	<p>20%</p>	<p>0.20</p>	<p>Are infrastructure and equipment adequate to increase human capital and implementation of the research project for science, technology and innovation? As for example: Instrumental, laboratory equipment, computer equipment, experimental services, documentary and bibliographic collection (own and external) that the applicant entity provides to the incorporated researcher(s). In the event the PR comes from the internal team of the applicant and partner entity (if applicable), is it considered adequate and sufficient to increase the human capital and implementation of the research project? Is the Applicant Entity associated with Universities, Research Institute or highly qualified international or national entities in STI that contribute to increase human resources to carry out quality science and technology activities; contributes to the transfer and/or generation of new knowledge, scientific publications of high impact, creation of scientific networks, technological developments and/or technological products? in order to generate impact in the creation, strengthening or consolidation of research lines that respond to the demand of the productive sector and/or society.</p>	<p>Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points</p>		
<p>IV. Sustainability of the proposal and its impact on the creation, strengthening or consolidation of the research line and development for the company and prioritized sector.</p>	<p>10%</p>	<p>4.1 Sustainability of the proposal and its impact on the research line and development and/or prioritized sector and/or a contribution to the improvement of the standard of living of disabled people.</p>	<p>10%</p>	<p>0.10</p>	<p>Have concrete actions that contribute to the sustainability research line or the area of technological development of the entity been defined? For instance, is there a plan for the insertion of the human resources incorporated, plan for the acquisition of equipment or other necessary conditions that contribute to the sustainability or to the research line or the area of technological development of the entity? What research result dissemination strategies towards their application are being considered? Does the proposal help</p>	<p>Below the sub-criterion: 0 points Poor: 1 point Fair: 2 points Good: 3 points Very good: 4 points Outstanding: 5 points</p>		

					<p>contribute to the strengthening, consolidation or creation of a new research line and/or the prioritized sector? Is the main objective of the project to improve the living standards of people with disabilities (PwD) (to promote the research and development of goods, services, equipment and facilities, plans, projects and programs and/or to promote research and development of new technologies, including information and communication technologies, mobility aids, technical devices and support technologies and/or make available to the PwD all possible information on mobility aids, technical devices and support technologies. Law 30863 Article 5 about the STI projects prioritized for PwD).</p>			
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